

# HENSELIAN RESIDUALLY $p$ -ADICALLY CLOSED FIELDS

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ABSTRACT. In (*Arch. Math.* 57 (1991), pp. 446–455), R. Farré proved a positivstellensatz for real-series closed fields. Here we consider  $p$ -valued fields  $\langle K, v_p \rangle$  with a non-trivial valuation  $v$  which satisfies a compatibility condition between  $v_p$  and  $v$ . We use this notion to establish the  $p$ -adic analogue of real-series closed fields; these fields are called *henselian residually  $p$ -adically closed fields*. First we solve a Hilbert’s Seventeenth problem for these fields and then, we introduce the notions of residually  $p$ -adic ideal and residually  $p$ -adic radical of an ideal in the ring of polynomials in  $n$  indeterminates over a henselian residually  $p$ -adically closed field. Thanks to these two notions, we prove a Nullstellensatz theorem for this class of valued fields. We finish the paper with the study of the differential analogue of henselian residually  $p$ -adically closed fields. In particular, we give a solution to a Hilbert’s Seventeenth problem in this setting.

*Keywords:* Henselian residually  $p$ -adically closed fields, model completeness, Hilbert’s Seventeenth problem, residually  $p$ -adic ideal, Nullstellensatz, valued  $D$ -fields.

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## 1. INTRODUCTION

Let us recall that a valued field is a field  $K$  equipped with a surjective map  $v : K \rightarrow \Gamma \cup \{\infty\}$ , where  $\Gamma := v(K^\times)$  is a totally ordered abelian group and  $v$  satisfies the following properties:

- $v(x) = \infty \iff x = 0$ ,
- $v(xy) = v(x) + v(y)$ ,
- $v(x + y) \geq \min\{v(x), v(y)\}$ .

The subring  $\mathcal{O}_K := \{x \in K \mid v(x) \geq 0\}$  of  $K$  is called the valuation ring of  $\langle K, v \rangle$ , the value group is  $v(K^\times)$ , the residue field of  $K$  is  $k_K := \mathcal{O}_K/\mathcal{M}_K$  where  $\mathcal{M}_K := \{x \in K \mid v(x) > 0\}$  is the maximal ideal of  $\mathcal{O}_K$  and the canonical residue map is denoted by  $\pi : \mathcal{O}_K \mapsto k_K$ . If  $K$  is a field equipped with two valuations  $v$  and  $w$  then we add a subscript  $v$  in order to distinguish the valuations rings, maximal ideals, residue fields and residue maps, respectively, of the valuation  $v$  with those of  $w$  (i.e.  $\mathcal{O}_{K,v}$ ,  $\mathcal{M}_{K,v}$ ,  $k_{K,v}$  and  $\pi_v$ ). Moreover if  $\langle K, v \rangle$  is a valued field with an element of minimal positive value then that element is denoted by 1.

To each valuation defined on  $K$  we can associate a binary relation  $\mathcal{D}$  which is interpreted by the set of 2-tuples  $(a, b)$  of  $K^2$  such that  $v(a) \leq v(b)$ . So this relation  $\mathcal{D}$  satisfies the following properties ( $\star$ ):

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- $\mathcal{D}$  is transitive,  $\neg\mathcal{D}(0, 1)$ ,
- $\mathcal{D}$  is compatible with  $+$  and  $\cdot$ ,
- and either  $\mathcal{D}(a, b)$  or  $\mathcal{D}(b, a)$  for all  $a, b \in K$ .

Such a relation is called a *linear divisibility relation* (a l.d. relation).

If  $A$  is a domain with fraction field  $K$  and  $\mathcal{D}$  is a relation which satisfies the properties  $(\star)$  then, by extending  $\mathcal{D}$  to  $K$  as follows:

$$\mathcal{D}\left(\frac{a}{b}, \frac{c}{d}\right) \iff \mathcal{D}(ad, bc) \text{ with } a, b, c, d \in A \text{ and } b, d \neq 0,$$

we get that the l.d. relation  $\mathcal{D}$  on  $K$  induces a valuation  $v$  on  $K$  by defining  $v(a) \leq v(b)$  if  $\mathcal{D}(a, b)$ . As for the valuation rings, we add a subscript  $v$  to its corresponding l.d. relation  $\mathcal{D}_v$  if necessary.

If  $\langle K, v \rangle$  is a valued field then we denote its Henselization by  $\langle K^h, v^h \rangle$ . For general valuation theory, the reader can refer to [15].

In this paper, we are dealing with notions of  $p$ -valued fields,  $p$ -valuations and  $p$ -adically closed fields which are all assumed of  $p$ -rank 1 for some prime number  $p$  following the terminology of [14]. We are interested in henselian residually  $p$ -adically closed fields which is the  $p$ -adic counterpart of real-series closed fields (see [4] and [5, chapter 1] for a brief history of results about real-series closed fields).

First we define a theory analogous to the theory of real-series closed fields in a language including divisibility predicates  $\mathcal{D}_{v_p}$  and  $\mathcal{D}_v$ . Each divisibility predicate corresponds to a valuation and these two valuations are connected with a compatibility condition as introduced in [7, Definition 2.2]. This theory is denoted by  $HRpCF$  and its models are called henselian residually  $p$ -adically closed fields.

Then we prove an analogue of the Hilbert's Seventeenth problem for henselian residually  $p$ -adically closed fields by using the same ideas as in [4]. We introduce the field analogue of the notion of  $M$ -Kochen ring which was considered in Section 3 of [7] for valued domains. It allows us to characterize the intersection of the valuation rings of  $p$ -valuations which extend a fixed  $p$ -valuation  $v_p$  such that  $v_p(M) \geq 0$  for some particular subset  $M$ . Since we want to use a model completeness result, we have to identify the subset  $M$  which is required in the solution of this problem for henselian residually  $p$ -adically closed fields.

In the third section, we follow the lines of the work [17] in order to prove a Nullstellensatz theorem for henselian residually  $p$ -adically closed fields. To this effect, we define the notions of residually  $p$ -adic ideal and residually  $p$ -adic radical of an ideal in the polynomial ring in  $n$  indeterminates over a model of  $HRpCF$ . Generally it suffices to adapt the proofs of [17] by replacing the role of the classical Kochen ring by our  $M$ -Kochen ring.

Finally, in the last section, we study a special class of  $D$ -henselian valued fields (first considered in [16]) which uses the results of [6] and [8]. In [6], we established and axiomatized the model-companion of the theory of differential  $p$ -valued fields which is denoted by  $pCDF$  and whose models are called  $p$ -adically closed differential fields. It is a  $p$ -adic adaptation of the theory of closed ordered differential field (see [18]) which is denoted by  $CODF$ . In [8], we study  $D$ -henselian valued fields with residue differential field which is a model of  $CODF$  and with a  $\mathbb{Z}$ -group as value group, i.e. a

differential analogue of the theory of real-series closed fields. In particular, we prove a positivstellensatz result for these  $D$ -henselian valued fields.

Here we adapt these results to the  $p$ -adic case by using  $pCDF$ , i.e. we are interested in the valued  $D$ -field analogue of  $HRpCF$ . So we prove a Hilbert's Seventeenth problem for  $D$ -henselian valued fields whose residue field is a model of  $pCDF$  and whose value group is a  $\mathbb{Z}$ -group. The model-theoretic tool that we need is a theorem of quantifier elimination in [8]; it enables us to prove the model completeness of the theory of these  $D$ -henselian valued fields in a suitable language by using linear divisibility predicates.

## 2. HILBERT'S SEVENTEENTH PROBLEM FOR HENSELIAN RESIDUALLY $p$ -ADICALLY CLOSED FIELDS

We begin this section with a notion which is the  $p$ -adic analogue of the convexity of a valuation in the case of real-series closed fields.

**Definition 2.1.** Let  $\langle K, v_p, v \rangle$  be a  $p$ -valued field with  $v_p$  its  $p$ -valuation and  $v$  a non-trivial valuation on  $K$ . We say that  $v$  is *compatible with  $v_p$*  if the following holds

$$\forall x, y [v_p(x) \leq v_p(y) \Rightarrow v(x) \leq v(y)].$$

Let us recall a well-known fact on  $p$ -valued fields.

**Lemma 2.2.** *Let  $\langle K, v_p \rangle$  be a  $p$ -valued field and let  $x$  be an element of  $K$ . If there exists an element  $y$  in  $K$  such that  $y^\epsilon = 1 + px^\epsilon$ , with  $\epsilon = 2$  if  $p \neq 2$  and  $\epsilon = 3$  otherwise, then  $v_p(x) \geq 0$ . Conversely if  $\langle K, v_p \rangle$  is henselian and  $v_p(x) \geq 0$  then there exists an element  $y$  in  $K$  such that  $y^\epsilon = 1 + px^\epsilon$  with  $\epsilon$  as before.*

*Proof.* See Lemma 1.5 in [1]. □

**Lemma 2.3.** *Let  $\langle K, v_p \rangle$  be a  $p$ -valued field and let  $v$  be a non-trivial henselian valuation on  $K$  with residue field  $k_{K,v}$  of characteristic zero. Then  $v$  is compatible with  $v_p$ .*

*Proof.* Let  $x, y$  in  $K$  be such that  $v(x) < v(y)$ . Hence  $\frac{y}{p \cdot x} \in \mathcal{M}_{K,v}$  since the characteristic of  $k_{K,v}$  is zero. Let us consider the polynomial  $f(X) = X^\epsilon - (1 + p \cdot (\frac{y}{p \cdot x})^\epsilon)$  with  $\epsilon$  as in Lemma 2.2. So  $f(X)$  has coefficients in  $\mathcal{O}_{K,v}$ . Moreover  $\pi(f)(X)$  is equal to  $X^\epsilon - 1$ ; hence 1 is a simple residue root of  $f(X)$ . By Hensel's Lemma applied to  $v$ ,  $f(X)$  has a root  $z$  such that  $\pi(z) = 1$ . So, by Lemma 2.2, we get that  $v_p(p \cdot x) \leq v_p(y)$ , which implies  $v_p(x) < v_p(y)$ . □

Now we recall some definitions and results from [7], namely the notions of  $p$ -valued and  $p$ -convexly valued domains. It is useful in the next theorems for the following reasons:

- if  $\langle K, v_p, v \rangle$  is a  $p$ -valued field with  $v$  a non-trivial valuation on  $K$  then  $\mathcal{O}_{K,v}$  is a  $p$ -valued domain,
- moreover, if  $v$  is compatible with  $v_p$  and  $\text{char}(k_{K,v}) = 0$  then  $\mathcal{O}_{K,v}$  is a  $p$ -convexly valued domain.

**Definition 2.4.** Let  $A$  be a domain containing  $\mathbb{Q}$ . We say that  $A$  is a  $p$ -valued domain if  $A$  is not a field and its fraction field  $Q(A)$  is  $p$ -valued.

**Definition 2.5.** Let  $F$  be a  $p$ -valued field with  $v_p$  its  $p$ -valuation and let  $A \subseteq B$  be two subsets of  $F$ . We say that  $A$  is  $p$ -convex in  $B$  if for all  $a \in A$  and  $b \in B$ ,  $v_p(a) \leq v_p(b)$  implies  $b \in A$ .

With our terminology, we can state easy results.

**Lemma 2.6.** Let  $\langle F, v_p \rangle$  be a  $p$ -valued field and let  $A$  be a  $p$ -valued domain which is  $p$ -convex in  $F$ . Then  $A$  is a valuation ring and  $F = Q(A)$ .

*Proof.* See Lemma 2.3 in [7]. □

*Notation 2.7.* In the sequel, if  $A$  is a valuation ring then we denote the maximal ideal and the residue field of  $A$  by  $\mathcal{M}_A$  and  $k_A$  respectively. The previous lemma shows that any  $p$ -convex subdomain  $A$  of a  $p$ -valued field  $F$  supports a valuation  $v$  which corresponds to a l.d. relation  $\mathcal{D}_v$  on the domain  $A$ . So the notations  $\mathcal{M}_A$  and  $k_A$  are always relative to this valuation  $v$ . If  $A$  is a ring then we denote by  $A^\times$  the set of units of  $A$  and if  $B$  is a subset of  $A$  then we denote by  $B^\bullet$  the set  $B \setminus \{0\}$ .

**Definition 2.8.** A  $p$ -convexly valued domain  $A$  is a  $p$ -valued domain such that  $A$  is a valuation ring and  $\mathcal{M}_A$  is  $p$ -convex in  $A$ .

*Remark 2.9.* Equivalent properties characterize  $p$ -convexly valued domains  $A$  (see Lemma 2.5 of [7]); for example,

$$A \models \forall x, y (v_p(x) \leq v_p(y) \rightarrow \exists z (xz = y)),$$

which motivates Definition 2.1.

Another equivalent property is that  $A$  is a valuation ring and for every  $a \in \mathcal{M}_A$ ,  $v_p(a) > 0$ .

Let  $\mathcal{L}_p$  be an expansion of the language of rings  $\mathcal{L}_{\text{rings}} \cup \{\mathcal{D}_{v_p}, \mathcal{D}_v\}$  such that  $\mathcal{D}_{v_p}$  will be interpreted as a l.d. relation with respect to a  $p$ -valuation  $v_p$  and  $\mathcal{D}_v$  as a l.d. relation with respect to a valuation  $v$ . The  $\mathcal{L}_p$ -theory of  $p$ -convexly valued domains is denoted by  $pCVR$ . An axiomatization of  $pCVR$  in  $\mathcal{L}_p$  can be found in Section 2 of [7].

Now we recall a part of Lemma 2.9 in [7].

**Lemma 2.10.** Let  $\mathcal{A}, \mathcal{B}$  be two  $\mathcal{L}_p$ -structures which are models of  $pCVR$  and  $B$  is a  $p$ -convexly valued domain extension of  $A$  (i.e.  $\langle A, \mathcal{D}_{v_p} \rangle \subseteq \langle B, \mathcal{D}_{v_p} \rangle$  or  $Q(A) \subseteq Q(B)$  as  $p$ -valued fields). Then the following are equivalent:

- (1)  $\mathcal{A} \subseteq_{\mathcal{L}_p} \mathcal{B}$ ;
- (2)  $A \cap \mathcal{M}_B = \mathcal{M}_A$ ;

*Remark 2.11.* By Lemma 2.10 in [7], we know that if  $A$  is a  $p$ -convexly valued domain then  $v_p(A^\times)$  is a convex subgroup of  $v_p(Q(A)^\times)$ . Hence if  $A$  is a  $p$ -convexly valued domain then, by  $p$ -convexity of  $\mathcal{M}_A$  in  $A$ , we have  $v_p(A^\times) < v_p(\mathcal{M}_A)$ .

So we can define a  $p$ -valuation on the residue field  $k_A$  of  $A$ , denoted by  $\tilde{v}_p$ , as follows:

- if  $x = 0$  in  $k_A$  then  $\tilde{v}_p(x) = \infty$ ;
- otherwise if  $x \neq 0$  in  $k_A$ , we take  $y \in A^\times$  such that  $\pi_v(y) = x$  and define  $\tilde{v}_p(x)$  as  $v_p(y)$  (where  $v$  is the valuation with respect to  $A$ ).

Since  $v_p(A^\times) < v_p(\mathcal{M}_A)$ ,  $\tilde{v}_p$  is well-defined and  $\langle k_A, \tilde{v}_p \rangle$  is a  $p$ -valued field.

The two next lemmas will allow us to extend  $p$ -convexly valued domains in the most natural way as possible.

**Lemma 2.12.** *Let  $A$  be a  $p$ -valued domain and let  $\langle K, v_p \rangle$  be a  $p$ -valued field extension of  $Q(A)$  such that there exists an element of  $K$  of value lower than  $v_p(A^\bullet)$ .*

*Then there exists a minimal  $p$ -convexly valued domain  $pcH(A, K)$  containing  $A$  whose fraction field is  $K$ . Furthermore, if  $A$  is a  $p$ -convexly valued domain then  $A \subseteq_{\mathcal{L}_p} pcH(A, K)$ .*

*Proof.* See Lemma 2.14 in [7] where  $pcH(A, K)$  is defined as follows

$$\{k \in K : \exists a \in A \text{ such that } K \models v_p(a) \leq v_p(k)\}.$$

□

**Lemma 2.13.** *Let  $A$  be a  $p$ -convexly valued domain and let  $\widetilde{Q(A)}$  be a  $p$ -adic closure of  $Q(A)$  for the  $p$ -valuation  $v_p$  on  $Q(A)$ .*

*Then there exists a  $p$ -convexly valued domain  $\tilde{A}$  such that*

- $A \subseteq_{\mathcal{L}_p} \tilde{A}$ , the valuation  $v$  with respect to  $\tilde{A}$  is henselian,
- its residue field  $k_{\tilde{A}}$  is  $p$ -adically closed, its value group is divisible
- and its fraction field is  $\widetilde{Q(A)}$ .

*Proof.* See Lemma 2.15 in [7].

□

Now we recall the definition of the Kochen's operator which plays an important role in the characterization of  $p$ -valued field extensions (see Chapter 6 in [14]).

**Definition 2.14.** The following operator  $\gamma_p(X)$  is called the *Kochen's operator*:

$$\gamma_p(X) = \frac{1}{p} \cdot \frac{X^p - X}{(X^p - X)^2 - 1}.$$

Let us introduce the notion of  $M$ -Kochen ring defined in Definition 3.6 in [7]. It yields, in Theorem 2.21, a characterization of the intersection of the valuation rings of  $p$ -valuations which extend a given  $p$ -valuation  $v_p$  such that  $v_p(M) \geq 0$  for some particular subset  $M$ .

**Definition 2.15.** For any field extension  $L$  of a  $p$ -valued  $\langle K, v_p \rangle$  and any subset  $M$  of  $L$ , the  $M$ -Kochen ring  $R_{\gamma_p}^M(L)$  is defined as the subring of  $L$  consisting of quotients of the form

$$a = \frac{b}{1 + pd} \text{ with } b, d \in \mathcal{O}_{K, v_p}[\gamma_p(L), M] \text{ and } 1 + pd \neq 0$$

where  $\mathcal{O}_{K, v_p}[\gamma_p(L), M]$  denotes the subring of  $L$  generated by  $\gamma_p(L) \setminus \{\infty\}$  and  $M$  over the ring  $\mathcal{O}_{K, v_p}$ .

*Remark 2.16.* If  $\langle K, v_p \rangle$  is a henselian  $p$ -valued field then  $\mathcal{O}_{K, v_p}$  is equal to  $\gamma_p(K)$  (see Remark 1 in [11]). In this case, the elements of the  $M$ -Kochen ring  $R_{\gamma_p}^M(L)$  (for a field extension  $L$  of  $K$ ) have the following form  $a = \frac{b}{1+pd}$  with  $b, d \in \mathbb{Z}[\gamma_p(L), M]$  and  $1 + pd \neq 0$ . Let us note that the fraction field of  $R_{\gamma_p}^M(L)$  is  $L$  (see Merckel's Lemma in [14, Appendix]).

**Definition 2.17.** Let  $\mathcal{L}_{p,a}$  be the following language  $\mathcal{L}_p \cup \{a\}$ . Let  $\langle K, v_p, v, a \rangle$  be a  $p$ -valued field with  $v_p$  its  $p$ -valuation, a non-trivial valuation  $v$  on  $K$  and a distinguished element  $a$  of  $K$ .

We say that  $K$  is a *henselian residually  $p$ -adically closed field* if  $v(K^\times)$  is a  $\mathbb{Z}$ -group with  $v(a) = 1$ ,  $v$  is henselian and its residue field  $\langle k_{K,v}, \tilde{v}_p \rangle$  is  $p$ -adically closed (see Remark 2.11).

We will denote this  $\mathcal{L}_{p,a}$ -theory  $Th(K)$  by  $HRpCF$ .

Clearly, a canonical model of  $HRpCF$  is the field of Laurent series over  $\mathbb{Q}_p$ , denoted by  $\mathbb{Q}_p((t))$  ( $t$  plays the role of the distinguished element  $a$ ).

*Remark 2.18.* More generally if we consider a  $p$ -adically closed field  $K$  with its  $p$ -valuation  $v_p$  then we can obtain a henselian  $p$ -adically closed field by considering the field of Laurent series  $K((t))$  over  $K$  with its  $t$ -adic valuation compatible with the following natural  $p$ -valuation  $w_p$ : for any  $f := \sum_{i \geq z} f_i t^i$  with  $f_z \neq 0$ , we define  $w_p(f) := (z, v_p(f_z)) \in \mathbb{Z} \times v_p(K^\times)$ , lexicographically ordered.

Let us consider a  $p$ -valued field  $\langle K, v_p \rangle$  with its  $p$ -valuation  $v_p$  henselian and let assume moreover that its value group contains a non-trivial smallest convex subgroup  $G$  such that  $v(K^\times)/G$  (equipped with its induced ordering) has a smallest positive element. Then  $\langle K, v_p, w \rangle$  can be extended to a model of  $HRpCF$  where  $w$  is the coarse valuation with respect to  $G$ . It suffices for this to apply Lemma 2.23 like in Theorem 2.28.

In the theory of henselian residually  $p$ -adically closed fields, another operator  $\gamma(X)$  (defined in the following lemma) will play an important role as the one of Kochen's operator in the  $p$ -adic field case (see [11]). It enables us to determine whenever an element of the maximal ideal of a valued field  $\langle K, v \rangle$  has the least positive value.

**Lemma 2.19.** *Let  $\langle K, v \rangle$  be a valued field and let  $a$  be a non-zero element of  $K$ . Let  $\gamma$  be the operator defined by  $\gamma(X) = \frac{X}{X^2 - a}$ . Then the following are equivalent:*

- (1)  $v(a) = 1$ ,
- (2)  $\gamma(K) \subseteq \mathcal{O}_K$  and  $a \in \mathcal{M}_K$ .

*Proof.* See Lemma 2.3 in [4]. □

**Lemma 2.20.** *Let  $\langle K, v \rangle$  be a henselian valued field such that  $v(a) = 1$ . Then  $\mathcal{O}_{K,v} = \gamma(K)$ .*

*Proof.* By Lemma 2.19, we have that  $\gamma(K) \subseteq \mathcal{O}_K$  and  $a \in \mathcal{M}_K$ . Let  $y$  be in  $\mathcal{O}_K$  and let us consider the polynomial  $f(X) = X - y(X^2 - a)$ . Then 0 is a simple residue root of  $f$  and by Hensel's Lemma, there exists an element  $x$  of  $\mathcal{O}_{K,v}$  such that  $f(x) = 0$ ; hence  $y = \gamma(x)$ . □

This is the content of Theorem 3.11 in [7].

**Theorem 2.21.** *Let  $L$  be a field extension of a  $p$ -valued field  $\langle K, v_p \rangle$  and let  $M$  be a subset of  $L$  such that  $v_p((M \cap K)^\bullet) \geq 0$ . Assume that there exists a  $p$ -valuation  $w_p$  on  $L$  such that  $M \subseteq \mathcal{O}_{L, w_p}$ .*

*Then the subring  $R_{\gamma_p}^M(L)$  of  $L$  is the intersection of the valuation rings  $\mathcal{O}_{L, v}$  where  $v$  ranges over the  $p$ -valuations of  $L$  which extend the one of  $K$  such that  $M$  belongs to  $\mathcal{O}_{L, v}$ .*

The two next lemmas allow us to extend the  $\mathcal{L}_p$ -structure of a  $p$ -valued field  $\langle K, v_p, v \rangle$  with a valuation  $v$  compatible with  $v_p$  to particular valued field extensions  $\langle L, w \rangle$ .

In the following proofs, we use the notations of Remark 2.11: if  $\langle K, v_p, v \rangle$  is a  $p$ -valued field such that the non-trivial valuation  $v$  is compatible with  $v_p$  and  $\text{char}(k_{K, v}) = 0$  then  $\mathcal{O}_{K, v}$  is a  $p$ -convexly valued domain and  $\langle k_{\mathcal{O}_{K, v}}, \tilde{v}_p \rangle$  is  $p$ -valued. Let us note that  $k_{\mathcal{O}_{K, v}} = k_{K, v}$ .

**Lemma 2.22.** *Let  $\langle K, v_p, v \rangle$  be a  $p$ -valued field such that  $v$  is a non-trivial valuation compatible with  $v_p$  and  $\text{char}(k_{K, v}) = 0$ , let  $\langle L, w \rangle$  be a valued field extension of  $\langle K, v \rangle$  with  $v(K^\times) = w(L^\times)$  and let  $\bar{w}_p$  be a  $p$ -valuation on  $k_{L, w}$  such that  $\langle k_{L, w}, \bar{w}_p \rangle$  is a  $p$ -valued field extension of  $\langle k_{K, v}, \tilde{v}_p \rangle$ . Then there exists a  $p$ -valuation  $w_p$  on  $L$  such that  $w$  is compatible with  $w_p$  and  $\tilde{w}_p = \bar{w}_p$ .*

*Proof.* First we define a subring  $\mathcal{O}_{L, w_p}$  of  $\mathcal{O}_{L, w}$  and then we show that it is a valuation ring and that the corresponding valuation  $w_p$  is a  $p$ -valuation satisfying the required properties.

We define the subring  $\mathcal{O}_{L, w_p}$  of  $\mathcal{O}_{L, w}$  as follows: let  $x \in L$ , we say that  $x \in \mathcal{O}_{L, w_p}$  iff the value  $w(x)$  is strictly positive or  $w(x) = 0$  and  $\bar{w}_p(\pi_w(x)) \geq 0$ . Clearly,  $\mathcal{O}_{L, w_p}$  is a valuation ring of  $L$ , the corresponding valuation  $w_p$  is a  $p$ -valuation on  $L$  since  $\bar{w}_p$  is a  $p$ -valuation on  $k_{L, w}$ ; and the compatibility of  $w$  with  $w_p$  comes from the definition.

If  $y$  is an element of  $k_{L, w}$  such that  $y = \pi_w(x) \neq 0$  for some  $x$  in  $\mathcal{O}_{L, w}^\times$  then  $\tilde{w}_p(y)$  is defined as  $w_p(x)$ . By definition,  $w_p(x) \geq 0$  iff  $\bar{w}_p(\pi_w(x)) = \bar{w}_p(y) \geq 0$ . So we get that  $\bar{w}_p$  coincides with  $\tilde{w}_p$ .  $\square$

The next lemma is based on the previous one and a construction used by R. Farré in Proposition 1.3 of [4].

**Lemma 2.23.** *Let  $\langle K, v_p, v \rangle$  be a  $p$ -valued field such that  $v$  is a non-trivial valuation compatible with  $v_p$  and  $\text{char}(k_{K, v}) = 0$  and let  $H$  be an ordered abelian group such that  $v(K^\times) \subseteq H \subseteq \widehat{v(K^\times)}$ , the divisible hull of  $v(K^\times)$ .*

*Then there exists an algebraic valued field extension  $\langle L, w_p, w \rangle$  of  $\langle K, v_p, v \rangle$  such that  $\langle L, w \rangle$  is henselian,  $\langle k_{L, w}, \tilde{w}_p \rangle$  is  $p$ -adically closed and  $w(L^\times) = H$ .*

*Proof.* First, we take a henselian valued field extension  $\langle L, w \rangle$  of  $\langle K, v \rangle$  such that its residue field is a  $p$ -adic closure  $\langle \widehat{k}_K, \widehat{v}_p \rangle$  of  $\langle k_{K, v}, \tilde{v}_p \rangle$  and  $v(K^\times) = w(L^\times)$  (see [15, p. 164]). By applying Lemma 2.22, we take a  $p$ -valuation  $w_p$  on  $L$  extending  $v_p$  such that  $w$  is compatible with  $w_p$  and  $\tilde{w}_p = \widehat{v}_p$ . Let  $\langle \widehat{L}, \widehat{w}_p \rangle$  be a  $p$ -adic closure of  $\langle L, w_p \rangle$ .

Since  $\mathcal{O}_{L,w}$  is a  $p$ -convexly domain (with respect to  $w_p$ ), we can apply Lemma 2.13 to find a  $p$ -convexly valued domain  $\widehat{\mathcal{O}}$  with fraction field  $\widehat{L}$  such that  $\mathcal{O}_{L,w} \subseteq_{\mathcal{L}_p} \widehat{\mathcal{O}}$ .

So  $\langle \widehat{L}, \widehat{w}_p, \widehat{w} \rangle$  is an  $\mathcal{L}_p$ -extension of  $\langle K, v_p, v \rangle$  where  $\widehat{w}$  is the valuation corresponding to the valuation ring  $\widehat{\mathcal{O}}$ . Moreover the valuation  $\widehat{w}$  on  $\widehat{L}$  is henselian and so, by Lemma 2.3,  $\widehat{w}$  is compatible with  $\widehat{w}_p$ . By construction, the value group of  $\langle \widehat{L}, \widehat{w} \rangle$  is the divisible hull  $\widehat{v(K^\times)}$  of  $v(K^\times)$  and  $\langle k_{\widehat{L}, \widehat{w}}, \widehat{w}_p \rangle = \langle \widehat{k}_K, \widehat{v}_p \rangle$ . We finally take a field extension  $L_0$  of  $L$  into  $\widehat{L}$  maximal with the property  $v(L_0^\times) \subseteq H$ . We will have finished if we prove  $v(L_0^\times) = H$ . Otherwise let  $h$  be an element of  $H \setminus v(L_0^\times)$  and  $n$  its order into  $H/v(L_0^\times)$ . Taking  $b \in L_0$  with  $v(b) = n \cdot h$  and  $c = \sqrt[n]{b} \in \widehat{L}$  we have  $v(c) = h$ . We then note that the following natural inequalities

$$n \leq (v(L_0^\times) + (h) : v(L_0^\times)) \leq (v(L_0(c)^\times) : v(L_0^\times)) \leq [L_0(c) : L_0]$$

are in fact equalities and therefore  $v(L_0(c)^\times) = v(L_0^\times) + (h) \subseteq H$ , contradicting the maximality of  $L_0$ .  $\square$

Now we show the definability of the  $p$ -valuation  $v_p$  in henselian residually  $p$ -adically closed fields.

**Lemma 2.24.** *Let  $\langle K, v_p, v \rangle$  be a henselian residually  $p$ -adically closed field. Then the membership to the valuation ring  $\mathcal{O}_{K,v_p}$  is existentially definable in the language  $\mathcal{L}_{\mathcal{D}} := \mathcal{L}_{rings} \cup \{\mathcal{D}\}$ .*

*Proof.* By definition of  $HRpCF$ ,  $\langle k_{K,v}, \widetilde{v}_p \rangle$  is  $p$ -adically closed with respect to  $\widetilde{v}_p$  and  $\langle k_{K,v}, \widetilde{v}_p \rangle \models \forall z [\widetilde{v}_p(z) \geq 0 \iff \exists y (y^\epsilon = 1 + pz^\epsilon)]$  with  $\epsilon$  choosen as in the statement of Lemma 2.3. Since  $v$  is compatible with  $v_p$ , the equivalent properties of  $p$ -convexly valued domains give us  $v_p(\mathcal{M}_{K,v}) > 0$  (see Remark 2.9).

If  $v(x) = 0$  then  $\langle k_{K,v}, \widetilde{v}_p \rangle \models \exists y [y^\epsilon = 1 + p\pi_v(x)^\epsilon] \vee \exists w [w^\epsilon = 1 + p\pi_v(x^{-1})^\epsilon]$ . If  $\widetilde{v}_p(\pi_v(x)) \geq 0$  then  $\widetilde{v}_p(y) = 0$  (otherwise we deal with  $x^{-1}$  and  $w$ ); hence if  $z$  is an element of  $K$  such that  $\pi_v(z) = y$  then  $z$  is a simple residue root of  $f(Y) = Y^\epsilon - (1 + px^\epsilon)$ . By Hensel's Lemma applied to  $v$ , we get that  $K \models \exists w [w^\epsilon = 1 + px^\epsilon]$ ; i.e.  $v_p(x) \geq 0$ .

So we conclude that  $v_p(x) \geq 0$  iff

$$v(x) > 0 \vee [v(x) = 0 \wedge \exists y (y^\epsilon = 1 + px^\epsilon)] \vee [v(x) = 0 \wedge \exists z (z^\epsilon = x^\epsilon + p)].$$

$\square$

*Remark 2.25.* Since the theory of  $p$ -adically closed fields  $pCF$  is model complete in the language of fields and the theory of  $\mathbb{Z}$ -groups is model complete in the language of abelian totally ordered groups  $\{+, -, \leq, 0, 1\}$ , we get that the theory  $HRpCF$  is model complete in  $\mathcal{L}_{\mathcal{D}} \cup \{\underline{a}\} := \mathcal{L}_{\mathcal{D},a}$  by classical Ax-Kochen-Ersov principle for valued fields of equicharacteristic zero (see, for example, the results from [3]).

Moreover, for henselian residually  $p$ -adically closed fields, we conclude that the  $p$ -valuation  $v_p$  is henselian since it holds for  $\mathbb{Q}^h(t)^h$  (with  $\mathbb{Q}^h$  is the Henselization of  $\mathbb{Q}$  with respect to its natural  $p$ -valuation  $v_p$ ) and  $\mathcal{D}_p$  is existentially definable in  $\mathcal{L}_{\mathcal{D},a}$  (see Lemma 2.24).



**Lemma 2.26.** *In the  $\mathcal{L}_{\mathcal{D},a}$ -theory of henselian residually  $p$ -adically closed fields, the negations of  $n$ th power predicates  $P_n$  are existentially definable in the language of rings with the distinguished element  $a$ .*

*Proof.* Let  $K$  be a model of  $HRpCF$ . We consider a non-zero element  $x$  in  $K$  such that  $v(x) \geq 0$  (otherwise if  $v(x) < 0$  then we use that  $K \models P_n(x) \iff P_n(x^{-n+1})$ ). Then for each natural number, we get that

$$K \models \exists y \left[ \bigvee_{i=0}^{n-1} v(x) = v(a^i y^n) \right] \text{ since } v(K^\times) \text{ is a } \mathbb{Z}\text{-group with } v(a) = 1.$$

Since  $\mathcal{O}_{K,v}$  satisfies Hensel's Lemma and  $k_{K,v}$  is  $p$ -adically closed, this is equivalent to

$$k_{K,v} \models \exists z \left[ \bigvee_{i=0}^{n-1} \bigvee_{q \in \Delta_n} z^n = q \cdot \pi_v(x \cdot a^{-i} \cdot y^{-n}) \right]$$

where  $\Delta_n = \{q \in \mathbb{N} \mid q = \lambda p^r, 0 \leq r < n, \lambda \in \Lambda_n\}$  and  $\Lambda_n = \{\lambda \in \mathbb{N} \mid 1 \leq \lambda \leq p^{v_p(n)+1}, p \nmid \lambda\}$  (see [1]). So we get that  $K = \bigcup_{i=0}^{n-1} \bigcup_{q \in \Delta_n} qa^i K^n$  (and the union is disjoint).  $\square$

Now we state and prove the analogue of the Hilbert's Seventeenth problem for a henselian residually  $p$ -adically closed field  $K$ . In the sequel, we denote the ring of polynomials in  $n$  indeterminates over  $K$  by  $K[\underline{X}]$  and its fraction field by  $K(\underline{X})$ .

Before stating the theorem, we recall a lemma from [11].

**Lemma 2.27.** *Let  $D$  be a divisible totally ordered abelian group with  $d$  a positive element in  $D$ . Let  $H$  be a subgroup of  $D$  which is maximal with respect to the property that  $d = 1$  in  $H$ . Then  $H$  forms a  $\mathbb{Z}$ -group.*

Now we define the following subsets of  $K(\underline{X})$ : the subring  $A := \langle \gamma(K(\underline{X})) \rangle$  of  $K(\underline{X})$  generated by  $\gamma(K(\underline{X}))$  and  $M := A \cdot \mathcal{M}_{K,v}$ .

**Theorem 2.28.** *Let  $\langle K, v_p, v \rangle$  be a henselian residually  $p$ -adically closed field and let  $f$  be in  $K(\underline{X})$ . Assume that  $v_p(f(\bar{x})) \geq 0$  for every  $\bar{x} \in K^n$  such that  $f(\bar{x})$  is defined (\*).*

*Then  $f$  belongs to the  $M$ -Kochen ring  $R_{\gamma_p}^M(K(\underline{X}))$  of  $K(\underline{X})$ .*

*Proof.* Suppose that  $f$  does not belong to  $R_{\gamma_p}^M(K(\underline{X}))$ . Since there exists a  $p$ -valuation  $v_p$  on  $K(\underline{X})$  which extends the one of  $K$  such that  $v_p(M) \geq 0$  (see Remark 2.29), we can extend the  $p$ -valuation  $v_p$  on  $K$  to a  $p$ -valuation  $w_p$  on  $K(\underline{X})$  such that  $w_p(M) \geq 0$  and  $w_p(f) < 0$  by applying Lemma 2.21.

Let us consider  $B = pcH(A, K(\underline{X}))$ . Since  $B$  is not a field, Lemma 2.12 yields that  $B$  is a  $p$ -convexly valued domain whose fraction field is  $K(\underline{X})$ . In the following, we denote by  $w$  the valuation on  $K(\underline{X})$  corresponding to the valuation ring  $B$ . Since  $a \in \mathcal{M}_{K,v}$  and  $v_p(A \cdot \mathcal{M}_{K,v}) \geq 0$ , we get that  $v_p(a^{-1}) < v_p(A)$ ; hence  $a \in \mathcal{M}_B$ . Since  $\gamma(K(\underline{X})) \subseteq B$ ,  $\langle K(\underline{X}), w \rangle$  is a valued field such that  $w(a) = 1$  (see Lemma 2.19). The following statement of Lemma 2.10 shows us that  $\mathcal{O}_{K,v} \subseteq_{\mathcal{L}_{\mathcal{D},a}} B$ :

$$\mathcal{M}_B \cap \mathcal{O}_{K,v} = \mathcal{M}_{K,v}.$$

Indeed, the inclusion  $\subseteq$  is trivial and for the other one, we know that  $B$  satisfies  $v_p(m^{-1}) < v_p(h)$  for any  $m \in \mathcal{M}_{K,v}$  and any  $h \in A$  and by definition of  $B$ , it implies that  $m^{-1} \notin pcH(A, K(\underline{X})) = B$ ; so the conclusion follows.

Since  $\mathcal{O}_{K,v} = \gamma(K) \subseteq \gamma(K(\underline{X})) \subseteq B = \mathcal{O}_{K(\underline{X}),w}$  (see Lemma 2.20) and  $\mathcal{M}_{K,v} = a \cdot \mathcal{O}_{K,v} \subseteq a \cdot \mathcal{O}_{K(\underline{X}),w} = \mathcal{M}_B$  by Lemma 2.19, we conclude

$$\langle K, \mathcal{D}_{v_p}, \mathcal{D}_v, a \rangle \subseteq_{\mathcal{L}_{p,a}} \langle K(\underline{X}), \mathcal{D}_{w_p}, \mathcal{D}_w, a \rangle.$$

Now we use Proposition 2.23 applied to Lemma 2.27 in order to obtain an extension  $\langle L, \overline{w}_p, \overline{w} \rangle$  of  $\langle K(\underline{X}), w_p, w \rangle$  such that  $\langle L, \overline{w} \rangle$  henselian,  $\langle k_{L,\overline{w}}, \widetilde{\overline{w}}_p \rangle$  is  $p$ -adically closed,  $\langle L, \overline{w}_p \rangle$  is a  $p$ -valued extension of  $\langle K(\underline{X}), w_p \rangle$  and  $\overline{w}(L^\times)$  is a  $\mathbb{Z}$ -group with  $\overline{w}(a) = 1_{\overline{w}(L^\times)}$ .

By applying Ax-Kochen-Ersov transfer theorem for henselian valued fields of equicharacteristic zero, we deduce that  $\langle K, \mathcal{D}_v, a \rangle \prec \langle L, \mathcal{D}_{\overline{w}}, a \rangle$  in the language  $\mathcal{L}_{\mathcal{D},a}$ . Keeping in mind that, as well in  $K$  as in  $L$ , the  $p$ -valuations are existentially definable in the language  $\mathcal{L}_{\text{rings}} \cup \{\mathcal{D}\}$ , we have that  $\langle K, \mathcal{D}_{v_p}, \mathcal{D}_v, a \rangle \prec_{\mathcal{L}_{p,a}} \langle L, \mathcal{D}_{\overline{w}_p}, \mathcal{D}_{\overline{w}}, a \rangle$ . But  $\overline{w}_p(f) < 0$  in  $L$  implies  $\overline{w}_p(f(\underline{X})) < 0$  and hence the formula  $\phi$  expressing  $\exists \bar{x}(f(\bar{x}))$  is defined and  $\overline{w}_p(f(\bar{x})) < 0$  holds in  $L$ . By the elementary inclusion,  $\phi$  holds in  $\langle K, v_p, v \rangle$  showing that  $(*)$  is false.  $\square$

*Remark 2.29.* In the previous theorem, we have to find a  $p$ -valuation  $v_p$  on  $K(\underline{X})$  which extends the one of  $K$  such that  $v_p(M) \geq 0$ , i.e.  $v_p(A \cdot \mathcal{M}_{K,v}) \geq 0$ . We take a  $|K|^+$ -saturated  $\mathcal{L}_{p,a}$ -elementary extension  $L$  of  $K$  and so, we satisfy in  $L$  the  $n$ -type required for  $X_1, \dots, X_n$ . This  $n$ -type is consistent since in  $L$ , we have that  $\gamma(L) \subseteq \mathcal{O}_{L,v}$  and so  $A(L) \cdot \mathcal{M}_{L,v} \subseteq \mathcal{M}_{L,v} \subseteq \mathcal{O}_{L,v_p}$  where the subring  $A(L)$  of  $L$  generated by  $\gamma(L)$  is equal to  $\mathcal{O}_{L,v}$ .

### 3. NULLSTELLENSATZ FOR HENSELIAN RESIDUALLY $p$ -ADICALLY CLOSED FIELDS

In this section, we introduce the notion of residually  $p$ -adic ideal and the one of residually  $p$ -adic radical of an ideal in  $K[\underline{X}]$  over a henselian residually  $p$ -adically closed field  $K$ , by analogy with these notions in the  $p$ -adic case (see [17]). These two notions are related to the  $M$ -Kochen ring with the previous subset  $M$  of  $K(\underline{X})$ , i.e.  $A \cdot \mathcal{M}_{K,v}$  where  $A := \langle \gamma(K(\underline{X})) \rangle$  is the subring of  $K(\underline{X})$  generated by  $\gamma(K(\underline{X}))$ . We will closely follow the work of A. Srhir in order to prove a Nullstellensatz theorem for henselian residually  $p$ -adically closed fields.

**Definition 3.1.** Let  $\langle K, v_p, v, a \rangle$  be a  $p$ -valued field with  $v$  a non-trivial valuation and let  $a$  be a non-zero element of  $K$ .

We call such a field *residually  $p$ -valued* if  $v$  is compatible with  $v_p$ ,  $k_{K,v}$  is of characteristic zero and  $v(a) = 1$ .

**Definition 3.2.** Let  $\langle K, v_p, v, a \rangle$  be a residually  $p$ -valued field and let  $L$  be a field extension of  $K$ .

We say that  $L$  is a *formally residually  $p$ -valued field over  $K$*  if  $L$  admits a  $p$ -valuation  $w_p$  which extends the given  $p$ -valuation  $v_p$  on  $K$  and a valuation  $w$  such that  $\langle L, w_p, w \rangle$  is residually  $p$ -valued and  $K \subseteq_{\mathcal{L}_p} L$ ; i.e.  $\langle L, w_p, w \rangle$  is a *residually  $p$ -valued field extension*.

*Remark 3.3.* If  $\langle K, v_p, v, a \rangle$  is a residually  $p$ -valued field then  $K(X)$  is formally residually  $p$ -valued over  $K$ . It suffices to extend the two valuations  $v_p$  and  $v$  as follows.

Let  $f$  be an element of  $K[X]$ , i.e.  $f = \sum_{i=k}^N f_i X^i$  for some natural numbers  $0 \leq k \leq N$  with  $f_k \neq 0$ ;  $k$  is called *the initial degree* of  $f$ . Then we let  $w(f) := (k, v(f_k)) \in \mathbb{N} \times v(K^\times)$  and so, we extend  $w$  to the field of rational functions  $K(X)$  by letting  $w(g/h) := w(g) - w(h) \in \mathbb{Z} \times v(K^\times)$  (lexicographically ordered) where  $g, h \in K[X]$  and  $h \neq 0$ . We proceed similarly for  $w_p$  which is a  $p$ -valuation on  $K(X)$  extending the one of  $K$ . Let us show that  $w$  is compatible with  $w_p$  on  $K(X)$ . So we consider elements  $f/g, s/t \in K(X)$  such that  $w_p(f/g) \leq w_p(s/t)$ . We have to distinguish two cases:

- the difference of the initial degrees of  $(f, g)$  and  $(s, t)$  is the same and so, we conclude by using the compatibility of  $v$  with  $v_p$ ;
- the difference of the initial degrees of  $(f, g)$  is strictly less than the one of  $(s, t)$  and the conclusion follows from the definition of  $w$  and the lexicographic order of  $\mathbb{Z} \times v(K^\times)$ .

By induction, we get the same result for  $K(\underline{X})$ .

In [7, Theorem 3.4], we showed the following

**Theorem 3.4.** *Let  $L$  be a field extension of the  $p$ -valued field  $\langle K, v_p \rangle$  and let  $M$  be a subset of  $L$  such that  $v_p((M \cap K)^\bullet) \geq 0$ .*

*A necessary and sufficient condition for  $L$  to be a  $p$ -valued field extension of  $K$  such that  $v_p(M^\bullet) \geq 0$  is that*

$$\frac{1}{p} \notin \mathcal{O}_{K, v_p}[\gamma_p(L), M].$$

So we can deduce the following

**Proposition 3.5.** *Let  $L$  be a field extension of a residually  $p$ -valued field  $\langle K, v_p, v, a \rangle$ . Then  $L$  is formally residually  $p$ -valued over  $K$  iff  $\frac{1}{p} \notin \mathcal{O}_{K, v_p}[\gamma_p(L), M]$  where  $M$  is equal to  $A \cdot \mathcal{M}_{K, v}$  and  $A := \langle \gamma(L) \rangle$  is the subring of  $L$  generated by  $\gamma(L)$ .*

*Proof.* The implication  $(\Rightarrow)$  is trivial. Indeed, if we assume that  $\langle L, w_p, w, a \rangle$  is a residually  $p$ -valued field extension of  $K$  then we get that  $v_p(\mathcal{O}_{K, v_p}[\gamma_p(L), M]) \geq 0$  since  $w_p(\gamma_p(L)) \geq 0$  (see Lemma 6.2 in [14]),  $\gamma(L) \subseteq \mathcal{O}_{L, w}$  and so,  $A \cdot \mathcal{M}_{L, w} \subseteq \mathcal{M}_{L, w} \subseteq \mathcal{O}_{L, w_p}$  (because  $w$  is compatible with  $w_p$ ).

For the other one, there exists a  $p$ -valuation  $w_p$  on  $L$  such that  $w_p(M) \geq 0$  by Theorem 3.4. It suffices to follow the same proof as the one of Theorem 2.28 in order to build a valuation  $w$  on  $L$  such that  $w$  is compatible with  $w_p$  and  $w(a) = 1$ .  $\square$

In Section 2, we have already defined the notion of  $M$ -Kochen ring  $R_{\gamma_p}^M(L)$  for a field extension  $L$  of a  $p$ -valued field  $\langle K, v_p \rangle$ .

For the rest of the section, we assume that  $K$  is a henselian residually  $p$ -adically closed field and that  $M$  is the subset of any field extension  $L$  as in the previous proposition. Hence we have that the elements of the  $M$ -Kochen ring  $R_{\gamma_p}^M(L)$  over  $L$  have the following form  $a = \frac{b}{1+pd}$  with  $b, d \in \mathbb{Z}[\gamma_p(L), M]$  and  $1+pd \neq 0$  since the  $p$ -valuation  $v_p$  is henselian (see Remark 2.16).

**Proposition 3.6.** *Let  $L$  be a field extension of  $K$ . Then  $L$  is a formally residually  $p$ -valued field over  $K$  iff  $\frac{1}{p} \notin R_{\gamma_p}^M(L)$ .*

*Proof.* We assume that  $L$  is formally residually  $p$ -valued over  $K$ . If  $\frac{1}{p} \in R_{\gamma_p}^M(L)$  then there exist  $t, s \in \mathbb{Z}[\gamma_p(L), M]$  such that  $\frac{1}{p} = \frac{t}{1+ps}$ . Thus we have  $p(t-s) = 1$ . This contradicts Proposition 3.5.

Conversely assume that  $\frac{1}{p} \notin R_{\gamma_p}^M(L)$ . Since  $\mathbb{Z}[\gamma_p(L), M] \subseteq R_{\gamma_p}^M(L)$ , one has  $\frac{1}{p} \notin \mathbb{Z}[\gamma_p(L), M]$ .  $\square$

Now we prove the analogue of Corollary 1.6 in [17].

**Corollary 3.7.** *Let  $L$  be a henselian residually  $p$ -adically closed field such that  $K \subseteq_{\mathcal{L}_{\mathcal{D},a}} L$ . Let  $I$  be an ideal of  $K[\underline{X}]$  generated by  $f_1, \dots, f_r$  and let  $g$  be a polynomial not in  $I$ . Let  $\Phi : K[\underline{X}]/I \rightarrow L$  be a  $K$ -homomorphism such that  $\Phi(\bar{g}) \neq 0$ . Then there exists a  $K$ -homomorphism  $\Psi : K[\underline{X}]/I \rightarrow K$  such that  $\Psi(\bar{g}) \neq 0$ .*

*Proof.* We put  $x_1 = \Phi(X_1 + I), \dots, x_n = \Phi(X_n + I)$  and  $\bar{x} := (x_1, \dots, x_n)$ . Then  $\bar{x} \in L^n$ ,  $f_1(\bar{x}) = \dots = f_r(\bar{x}) = 0$  and  $g(\bar{x}) \neq 0$ . This statement can be expressed by an elementary  $\mathcal{L}_{\mathcal{D},a}$ -sentence with parameters from  $K$  which holds in  $L$ . Since the  $\mathcal{L}_{\mathcal{D},a}$ -theory  $HRpCF$  is model complete, we infer that this statement also holds in  $K$ . Thus there exists  $\bar{y} \in K^n$  such that  $f_1(\bar{y}) = \dots = f_r(\bar{y}) = 0$  and  $g(\bar{y}) \neq 0$ .  $\square$

Now Definition 3.1 of [17] motivates the following definition of a residually  $p$ -adic ideal in  $K[\underline{X}]$ .

**Definition 3.8.** Let  $I$  be an ideal of  $K[\underline{X}]$  generated by the polynomials  $f_1, \dots, f_r$ . We say that  $I$  is a *residually  $p$ -adic ideal* of  $K[\underline{X}]$  if for any  $g \in K[\underline{X}]$ , for any  $m \in \mathbb{N}^\bullet$  and for any  $\lambda_1, \dots, \lambda_r \in R_{\gamma_p}^M(K(\underline{X})) \cdot K[\underline{X}]$  such that  $g^m = \lambda_1 f_1 + \dots + \lambda_r f_r$  then we have  $g \in I$ , where  $R_{\gamma_p}^M(K(\underline{X})) \cdot K[\underline{X}]$  is the subring of  $K(\underline{X})$  generated by  $R_{\gamma_p}^M(K(\underline{X}))$  and  $K[\underline{X}]$ .

*Remark 3.9.* As in Remark 3.2 in [17], this definition does not depend on the choice of the basis  $f_1, \dots, f_r$  of the ideal  $I$ . If  $\bar{a}$  is an element of  $K^n$  then the maximal ideal  $K[\underline{X}]$  defined by  $\mathcal{M}_{\bar{a}} := \{f \in K[\underline{X}] \mid f(\bar{a}) = 0\}$  is a residually  $p$ -adic ideal of  $K[\underline{X}]$ .

*Notation 3.10.* If  $I$  is an ideal of  $K[\underline{X}]$ , we will denote by  $\mathcal{Z}(I)$  the algebraic set of  $K^n$  defined by  $\mathcal{Z}(I) := \{\bar{x} \in K^n \mid f(\bar{x}) = 0 \ \forall f \in I\}$  and by  $\mathcal{I}(\mathcal{Z}(I)) := \{f \in K[\underline{X}] \mid f(\bar{x}) = 0 \ \forall \bar{x} \in \mathcal{Z}(I)\}$ .

If, in addition,  $I$  is a prime ideal of  $K[\underline{X}]$ , then we shall denote by  $\tau$  the residue map with respect to  $I$  and by  $K(I)$  the residue field of  $I$ , i.e. the fraction field of the domain  $K[\underline{X}]/I$ .

**Proposition 3.11.** *Let  $I$  be an ideal of  $K[\underline{X}]$  generated by the polynomials  $f_1, \dots, f_r$ . Then the ideal  $\mathcal{I}(\mathcal{Z}(I))$  is a residually  $p$ -adic ideal.*

*Proof.* Let  $g$  be a polynomial in  $K[\underline{X}]$ ,  $m \in \mathbb{N}^\bullet$  and  $\lambda_1, \dots, \lambda_r \in R_{\gamma_p}^M(K(\underline{X})) \cdot K[\underline{X}]$  such that  $g^m = \lambda_1 f_1 + \dots + \lambda_r f_r$ . We have to show that  $g \in \mathcal{I}(\mathcal{Z}(I))$ . Let  $\bar{x}$  be in  $\mathcal{Z}(I)$ . We consider the following  $K$ -rational place  $\phi : K(\underline{X}) \rightarrow K \cup \{\infty\}$  such that  $\phi(X_i) = x_i$  for  $1 \leq i \leq n$ . Since  $f_j \in I$ , we have  $\phi(f_j) = 0$  for all  $1 \leq j \leq r$ .

*Claim:* for any  $\lambda \in R_{\gamma_p}^M(K(\underline{X})) \cdot K[\underline{X}]$ , we have  $\phi(\lambda) \neq \infty$ .

By Lemma 2.19, we have that for any  $h \in K(\underline{X})$ ,  $\phi(\gamma(h)) \neq \infty$  and by Lemma 2.1 in [10], for any  $\lambda \in R_{\gamma_p}^0(K(\underline{X}))$ ,  $\phi(\lambda) \neq \infty$ . So by definition of  $R_{\gamma_p}^M(K(\underline{X}))$  and the fact that  $\phi(X_i) \neq \infty$ , we get the claim.

Now from the Claim, we deduce that  $\phi(g) = 0$ , i.e.  $g(\bar{x}) = 0$ . It follows that  $g \in \mathcal{I}(\mathcal{Z}(I))$ . Hence  $\mathcal{I}(\mathcal{Z}(I))$  is a residually  $p$ -adic ideal.  $\square$

The next proposition gives a characterization of residually  $p$ -adic ideals in terms of formally residually  $p$ -valued field over  $K$ . So we get the analogue of Proposition 3.6 in [17].

**Proposition 3.12.** *Let  $I$  be a prime ideal of  $K[\underline{X}]$  generated by the polynomials  $f_1, \dots, f_r$ . Then  $I$  is a residually  $p$ -adic ideal if and only if its residue field  $K(I)$  is formally residually  $p$ -valued over  $K$ .*

*Proof.* We assume that the residue field  $K(I)$  of  $I$  is not formally residually  $p$ -valued over  $K$ . By Theorem 3.4, one has  $\frac{1}{p} \in R_{\gamma_p}^{M'}(K(I))$  where  $A' := \langle \gamma(K(I)) \rangle$  is the subring of  $K(I)$  generated by  $\gamma(K(I))$  and  $M'$  is equal to  $A' \cdot \mathcal{M}_{K,v}$ .

More precisely there exist  $\bar{f}/\bar{g}$  and  $\bar{h}/\bar{l}$  in  $\mathbb{Z}[\gamma_p(K(I)), M']$  such that  $\frac{1}{p} = \frac{\bar{f}/\bar{g}}{1+p\bar{h}/\bar{l}}$ . One can choose  $f/g$  and  $h/l$  such that  $f/g, h/l \in \mathbb{Z}[\gamma_p(K(\underline{X})), M]$  where  $M$  is equal to  $A \cdot \mathcal{M}_{K,v}$  with  $A$  the subring of  $K(\underline{X})$  generated by  $\gamma(K(\underline{X}))$ . We obtain the equality  $gl + p(gh - fl) = 0$ , i.e.  $gl + p(gh - fl) \in I$ . It follows that there exist  $\alpha_1, \dots, \alpha_r \in K[\underline{X}]$  such that  $gl + p(gh - fl) = \sum_{i=1}^r \alpha_i f_i$ . By Remark 3.3 and Proposition 3.5, we have  $1 + p(h/l - f/g) \neq 0$ . So we can write  $gl = \sum_{i=1}^r \lambda_i f_i$  with  $\lambda_i := \frac{\alpha_i}{1+p(h/l - f/g)}$  for  $1 \leq i \leq r$ . Since  $f/g, h/l \in \mathbb{Z}[\gamma_p(K(\underline{X})), M]$ , we have  $\lambda_i \in R_{\gamma_p}^M(K(\underline{X})) \cdot K[\underline{X}]$  for all  $1 \leq i \leq r$ . Hence we have  $gl = \lambda_1 f_1 + \dots + \lambda_r f_r$ . Since  $I$  is a residually  $p$ -adic ideal, we get  $gl \in I$ . On the other hand,  $g \notin I$  and  $l \notin I$  imply  $gl \notin I$ . This is a contradiction.

Conversely assume that the residue field  $K(I)$  is formally residually  $p$ -valued over  $K$ . We first prove  $I = \mathcal{I}(\mathcal{Z}(I))$  and then we conclude from Proposition 3.11 that  $I$  is residually  $p$ -adic.

Let  $f \notin I$ . As in Theorem 2.28, we can take an extension  $\langle L, \bar{w}_p, \bar{w} \rangle$  of  $K(I)$  which is a model of  $HRpCF$  such that  $f \neq 0$  in  $L$ . By using Corollary 3.7, there exists a  $K$ -homomorphism  $\Psi : K[\underline{X}]/I \rightarrow K$  such that  $\Psi(f) \neq 0$ . We put  $x_1 := \Psi(\bar{X}_1), \dots, x_n := \Psi(\bar{X}_n)$  and  $\bar{x} := (x_1, \dots, x_n) \in K^n$ . Then we have  $\bar{x} \in \mathcal{Z}(I)$  and  $f(\bar{x}) \neq 0$ . Thus  $f \notin \mathcal{I}(\mathcal{Z}(I))$ . Hence  $I = \mathcal{I}(\mathcal{Z}(I))$ .  $\square$

As in Example 3.7 in [17], for any integer  $i$  such that  $1 \leq i \leq n$ , the prime ideal  $(X_1, \dots, X_i)$  of  $K[X_1, \dots, X_n]$  is a residually  $p$ -adic ideal. The next proposition may be considered as the residually  $p$ -adic counterpart of Proposition 3.8 in [17].

**Proposition 3.13.** *Let  $I$  be a residually  $p$ -adic ideal of  $K[\underline{X}]$  generated by the polynomials  $f_1, \dots, f_r$ . Then one has the following properties:*

- $I$  is a radical ideal of  $K[\underline{X}]$ ,
- All the minimal prime ideals of  $K[\underline{X}]$  containing  $I$  are residually  $p$ -adic ideals.

*Proof.* The proof is the same as the one in [17] with  $\Lambda$  replaced by  $R_{\gamma_p}^M(K(\underline{X}))$ .  $\square$

Now we give the geometric characterization of residually  $p$ -adic ideals which is the analogue of Theorem 3.9 in [17].

**Theorem 3.14.** *Let  $I$  be an ideal of  $K[\underline{X}]$  generated by the polynomials  $f_1, \dots, f_r$ . Then  $I$  is a residually  $p$ -adic ideal if and only if  $I = \mathcal{I}(\mathcal{Z}(I))$ .*

*Proof.* If  $I = \mathcal{I}(\mathcal{Z}(I))$  then, by Proposition 3.11,  $I$  is a residually  $p$ -adic ideal.

Conversely suppose that  $I$  is a residually  $p$ -adic ideal. First assume that  $I$  is prime. Then, by Lemma 3.12, the residue field  $K(I)$  of  $I$  is formally residually  $p$ -valued over  $K$ . Therefore  $I = \mathcal{I}(\mathcal{Z}(I))$  (see the second part of the proof in Proposition 3.12). Second, if  $I$  is any residually  $p$ -adic ideal then  $I$  is clearly a radical ideal of  $K[\underline{X}]$ . Thus  $I = \bigcap_{i=1}^k I_i$  where  $I_i$  are the minimal prime ideals of  $I$  in  $K[\underline{X}]$ . So we know, by Proposition 3.13, that  $I_1, \dots, I_k$  are residually  $p$ -adic ideals of  $K[\underline{X}]$ . Hence  $I = \bigcap_{i=1}^k \mathcal{I}(\mathcal{Z}(I_i)) = \mathcal{I}(\mathcal{Z}(I))$ .  $\square$

The next result provides a residually  $p$ -adic analogue of Corollary 3.10 in [17].

**Corollary 3.15.** *Let  $I$  be an ideal of  $K[\underline{X}]$  generated by the polynomials  $f_1, \dots, f_r$ . Then the ideal  $\mathcal{I}(\mathcal{Z}(I))$  is the smallest residually  $p$ -adic ideal of  $K[\underline{X}]$  containing  $I$ .*

*Proof.* We know, from Proposition 3.11, that  $\mathcal{I}(\mathcal{Z}(I))$  is a residually  $p$ -adic ideal of  $K[\underline{X}]$  containing  $I$ . Moreover, if  $I_1$  is a residually  $p$ -adic ideal of  $K[\underline{X}]$  such that  $I \subseteq I_1$ , then we have that  $\mathcal{I}(\mathcal{Z}(I)) \subseteq \mathcal{I}(\mathcal{Z}(I_1))$ . Since  $I_1$  is a residually  $p$ -adic ideal, we conclude from Theorem 3.14 that  $I_1 = \mathcal{I}(\mathcal{Z}(I_1))$ . Thus  $\mathcal{I}(\mathcal{Z}(I)) \subseteq I_1$ . Hence the ideal  $\mathcal{I}(\mathcal{Z}(I))$  is the smallest residually  $p$ -adic ideal of  $K[\underline{X}]$  containing  $I$ .  $\square$

Now we give the definition of the residually  $p$ -adic radical of an ideal  $I \subseteq K[\underline{X}]$  and some of its algebraic properties.

**Definition 3.16.** Let  $I$  be an ideal of  $K[\underline{X}]$  generated by the polynomials  $f_1, \dots, f_r$ . The *residually  $p$ -adic radical* of  $I$  is the subset of  $K[\underline{X}]$  defined by

$$\sqrt[p]{I} := \{g \in K[\underline{X}] \mid \exists m \in \mathbb{N}^\bullet \text{ and } \exists \lambda_1, \dots, \lambda_r \in R_{\gamma_p}^M(K(\underline{X})).K[\underline{X}] : g^m = \sum_{i=1}^r \lambda_i f_i\}.$$

As in the definition of residually  $p$ -adic ideal, the residually  $p$ -adic radical of a polynomial ideal is independent of the choice of the basis of the ideal. By replacing the ring  $\Lambda$  by  $R_{\gamma_p}^M(K(\underline{X}))$  in the proof of the Proposition 4.3 in [17], we see that  $\sqrt[p]{I}$  is the smallest residually  $p$ -adic ideal of  $K[\underline{X}]$  containing  $I$ . Let us remark that an ideal  $I$  of  $K[\underline{X}]$  is a residually  $p$ -adic ideal if and only if  $I = \sqrt[p]{I}$ .

**Proposition 3.17.** *Let  $I$  be an ideal of  $K[\underline{X}]$ . Then  $\sqrt[p]{I}$  is the intersection of all the residually  $p$ -adic prime ideals of  $K[\underline{X}]$  containing  $I$ .*

*Proof.* It suffices to replace  $\Lambda.K[\underline{X}]$  by  $R_{\gamma_p}^M(K(\underline{X})).K[\underline{X}]$  in the proof of Proposition 4.5 in [17].  $\square$

Now we are able to prove the Nullstellensatz for henselian residually  $p$ -adically closed fields.

**Theorem 3.18.** *Let  $I$  be an ideal of  $K[\underline{X}]$ . Then  $\sqrt[p]{I} = \mathcal{I}(\mathcal{Z}(I))$ .*

*Proof.* Immediate consequence of Corollary 3.15 and the fact that  $\sqrt[p]{I}$  is the smallest residually  $p$ -adic ideal of  $K[\underline{X}]$  containing  $I$ .  $\square$

The following result gives a correspondence between algebraic sets of  $K^n$  and residually  $p$ -adic ideals of  $K[\underline{X}]$ . Thus we provide a residually  $p$ -adic analogue of Proposition 5.2 in [17].

**Proposition 3.19.** *There exists a one to one correspondence between algebraic sets of  $K^n$  and residually  $p$ -adic ideals of  $K[\underline{X}]$ .*

*Proof.* It suffices to use, in the proof of [17], Theorem 3.14 instead of Theorem 3.9 in [17].  $\square$

As an immediate consequence of this proposition, we obtain the following corollary.

**Corollary 3.20.** *There exists a one to one correspondence between irreducible algebraic sets of  $K^n$  and residually  $p$ -adic prime ideals of  $K[\underline{X}]$ .*

**Corollary 3.21.** *There exists a one to one correspondence between points of  $K^n$  and residually  $p$ -adic maximal ideals of  $K[\underline{X}]$ .*

*Proof.* Let  $\mathcal{M}$  be a residually  $p$ -adic maximal ideal of  $K[\underline{X}]$ . Then, according to Proposition 3.12, the field  $K(\mathcal{M})$  is formally residually  $p$ -valued over  $K$ . As in Theorem 2.28, we can take an extension  $\langle L, \bar{w}_p, \bar{w}, a \rangle$  of this field which is a model of  $HRpCF$ . Hence we have a  $K$ -homomorphism  $\Phi : K[\underline{X}]/\mathcal{M} \mapsto L$ . Then, by model completeness of the  $\mathcal{L}_{\mathcal{D},a}$ -theory of henselian residually  $p$ -adically closed fields or more precisely, by Corollary 3.7, we obtain a  $K$ -homomorphism  $\Psi : K[\underline{X}]/\mathcal{M} \mapsto K$ . We put  $x_i = \Psi(\bar{X}_i)$  for  $1 \leq i \leq n$  and  $\bar{x} = (x_1, \dots, x_n)$ . If  $f \in \mathcal{M}$  then  $f(\bar{x}) = \Psi(\bar{f}) = 0$  i.e.  $\bar{x} \in \mathcal{Z}(\mathcal{M})$ . Therefore  $\mathcal{M} \subseteq \mathcal{I}(\{\bar{x}\})$ . Hence  $\mathcal{M} = \mathcal{I}(\{\bar{x}\})$  since  $\mathcal{M}$  is a maximal ideal.

Conversely, let  $\bar{a} \in K^n$ . By Remark 3.9, the maximal ideal  $\mathcal{M}_{\bar{a}}$  defined by  $\mathcal{M}_{\bar{a}} := \{f \in K[\underline{X}] \mid f(\bar{a}) = 0\}$  is a residually  $p$ -adic maximal ideal of  $K[\underline{X}]$ .  $\square$

Now we define in a similar way as in [2] the model-theoretic radical ideal of an ideal in  $K[\underline{X}]$ . Our goal is to show by using the arguments of the previous results that the algebraic and model-theoretic notions of radical coincide.

**Definition 3.22.** Let  $I$  be an ideal of  $K[\underline{X}]$ . The *model-theoretic radical ideal* of  $I$  is defined as the following polynomial ideal, denoted by  ${}_{HRpCF}\text{Rad}(I)$

$${}_{HRpCF}\text{Rad}(I) := \bigcap_{P \in \mathcal{P}} P$$

where  $\mathcal{P}$  is the following set

$\{P \text{ ideal of } K[\underline{X}] \text{ containing } I \text{ such that } K[\underline{X}]/P \text{ can be } \mathcal{L}_p\text{-embedded over } K \text{ in a model } L \text{ of } HRpCF\}$ .

Note that if  $P$  is in  $\mathcal{P}$  then  $P$  is prime.

Now we prove the theorem which was previously announced.

**Theorem 3.23.** *Under the previous assumptions and notations,  ${}_{HRpCF}\text{Rad}(I) = \sqrt[p]{I}$ .*

*Proof.* Let  $f_1, \dots, f_r$  be generators of the ideal  $I$  in  $K[\underline{X}]$ .

(1) First we show that  $\sqrt[p]{I} \subseteq \text{HRpCFRad}(I)$ . Let  $g \in K[\underline{X}]$  such that  $g \notin \text{HRpCFRad}(I)$ . Thus there exists a prime ideal  $J$  in  $K[\underline{X}]$  containing  $I$  but not  $g$  such that

$$K \subseteq_{\mathcal{L}_p} L$$

where  $L \models \text{HRpCF}$  and  $K[\underline{X}]/J \subseteq L$ . By model completeness of the  $\mathcal{L}_p$ -theory  $\text{HRpCF}$ , we get that  $g \notin \mathcal{I}(\mathcal{Z}(I))$ . Furthermore, by Theorem 3.18, we get that  $g \notin \sqrt[p]{I}$ .

(2) Second we prove the other inclusion and we assume that  $g \notin \sqrt[p]{I}$ . Now it suffices to follow the ideas in the proof of Theorem 4.4 in [7].

Let  $S$  be the following multiplicative subset of  $K[\underline{X}]$

$$\{g^m : m \in \mathbb{N}\}.$$

We consider the following set  $\mathcal{J}$  of ideals in  $K[\underline{X}]$

$$\mathcal{J} = \{J \supseteq I \text{ proper residually } p\text{-adic ideal of } K[\underline{X}] \text{ such that } J \text{ is disjoint of } S\}.$$

Clearly  $\mathcal{J}$  is non-empty since  $\sqrt[p]{I}$  belongs to  $\mathcal{J}$ . By Zorn's Lemma, there exists a maximal element  $J$  in  $\mathcal{J}$ . So  $J$  is a proper residually  $p$ -adic ideal in  $K[\underline{X}]$  containing  $I$  such that  $J \cap S = \emptyset$ . Let us show that  $J$  is prime. Assume that  $f \cdot h \in J$  for some  $f, h \in K[\underline{X}] \setminus J$ . By maximality of  $J \in \mathcal{J}$ , we get that  $\sqrt[p]{\langle f, J \rangle} \cap S \neq \emptyset$  and  $\sqrt[p]{\langle h, J \rangle} \cap S \neq \emptyset$ . So we have that

$$g^{k_1} = \lambda f + \sum_{i=1}^l \lambda_i \cdot j_i \text{ and } g^{k_2} = \lambda' h + \sum_{i=1}^l \lambda'_i \cdot j_i$$

where  $j_1, \dots, j_l$  are generators of  $J$ ,  $\lambda, \lambda', \lambda_i, \lambda'_i$  belongs to  $R_{\gamma_p}^M(K(\underline{X})) \cdot K[\underline{X}]$  and  $k_1, k_2 \in \mathbb{N}$ . So we obtain that  $g^{k_1+k_2}$  belongs to  $J$  since  $J$  is residually  $p$ -adic.

By Proposition 3.12,  $K(I)$  is formally residually  $p$ -valued over  $K$ . As in the proof of Proposition 3.12, we can take an extension  $\langle L, \bar{w}_p, \bar{w} \rangle$  of  $K(I)$  which is a model of  $\text{HRpCF}$  and  $K \subseteq_{\mathcal{L}_p} L$  with  $g \neq 0$  in  $L$ . So by definition of  $\text{HRpCFRad}(I)$ , we have that  $g \notin \text{HRpCFRad}(I)$ .  $\square$

#### 4. HILBERT'S SEVENTEENTH PROBLEM FOR A CLASS OF 0- $D$ -HENSELIAN FIELDS

In this section, we keep previous notations and conventions; the usual terminology in differential algebra can be found in [13].

In Section 5 of [6], we introduce the theory of  $p$ -adically closed differential fields which is the model-companion of the universal theory of differential  $p$ -valued fields in the differential Macintyre's language (see [12]), i.e.  $\mathcal{L}_{\mathcal{D}_p, p_\omega}^D := \mathcal{L}_{\text{fields}} \cup \{D, \mathcal{D}_p, p_n : n \in \mathbb{N} \setminus \{0, 1\}\}$  where  $\mathcal{D}_p$  will be interpreted as a l.d. relation with respect to a  $p$ -valuation  $v_p$ , the  $p_n$  are predicates for  $n$ th powers and  $D$  is a unary function interpreted as a derivation. This  $\mathcal{L}_{\mathcal{D}_p, p_\omega}^D$ -theory admits quantifier elimination and is denoted by  $pCDF$ .

Let us recall an axiomatization of  $pCDF$ .



- (1) Axioms for differential  $p$ -valued fields where  $\mathcal{D}_p$  is the l.d. relation with respect to the  $p$ -valuation  $v_p$  and  $D$  is a derivation,
- (2) Hensel's Lemma with respect to the  $p$ -valuation  $v_p$  and the value group is a  $\mathbb{Z}$ -group,
- (3)  $\forall x [p_n(x) \iff \exists y (y^n = x)]$ ,
- (4) ( $DL$ )-scheme of axioms (following the terminology in Section 3 of [6]):  
for any positive integer  $n$ , for any differential polynomial  $f(X, \dots, X^{(n)})$  of order  $n$  with coefficients in the valuation ring  $\mathcal{O}_{v_p} (:= \{x \mid \mathcal{D}_p(1, x)\})$ ,

$$\begin{aligned} \forall \epsilon \forall b_0, \dots, b_n \{ \bigwedge_{i=0}^n \mathcal{D}_p(1, b_i) \wedge f^*(b_0, \dots, b_n) = 0 \wedge (\frac{\partial}{\partial X^{(n)}} f^*)(b_0, \dots, b_n) \neq 0 \\ \Rightarrow \exists y [\mathcal{D}_p(1, y) \wedge f(y) = 0 \wedge \bigwedge_{i=0}^n \mathcal{D}_p(\epsilon, y^{(i)} - b_i)] \} \end{aligned}$$

where  $f^*$  is the differential polynomial  $f$  seen as an ordinary polynomial in the differential indeterminates  $X, \dots, X^{(n)}$ .

By using  $pCDF$  as differential residue field theory and the theory of  $\mathbb{Z}$ -groups as value group theory, we can introduce the valued  $D$ -field analogue of the theory of henselian residually  $p$ -adically closed fields. For this purpose, we adapt the setting of the work [16] to our  $p$ -adic case.

First we recall the structure of the canonical example of valued  $D$ -field whose the theory will be studied in a residually  $p$ -adic setting (see also Section 6 in [16]).

We consider a differential field  $\langle \mathbf{k}, \delta \rangle$  which is a model of  $pCDF$  -hence it is linearly differentially closed and admits quantifier elimination in the language  $\mathcal{L}_{\mathcal{D}_p, p\omega}^D$  (see [6]) - and a  $\mathbb{Z}$ -group  $\mathbf{G}$ . It is a well-known fact that  $Th(\mathbf{G})$  admits quantifier elimination in the language of abelian totally ordered groups with additional unary predicates of divisibility  $\{n|\cdot\}_{n \in \omega}$  which means:

$$\forall g \in \mathbf{G} [n|g \iff \exists g' \in \mathbf{G} (\underbrace{g' + \dots + g'}_{n \text{ times}} = g)].$$

We are interested in the field  $\mathbf{k}((t^{\mathbf{G}}))$  of generalized power series. The set  $\mathbf{k}((t^{\mathbf{G}}))$  is defined by  $\{f : \mathbf{G} \mapsto \mathbf{k} : \text{supp}(f) := \{g \in \mathbf{G} : f(g) \neq 0\}\}$  is well-ordered in the ordering induced by  $\mathbf{G}$ . Each element of  $\mathbf{k}((t^{\mathbf{G}}))$  can be viewed as a formal power series  $\sum_{g \in \mathbf{G}} f(g)t^g$  with the addition and the multiplication defined as follows:  $(f + h)(g) := f(g) + h(g)$  and  $(f \cdot h)(g) := \sum_{g'+g''=g} f(g')h(g'')$  for any  $g \in \mathbf{G}$ .

The canonical valuation  $v$  on  $\mathbf{k}((t^{\mathbf{G}}))$  is defined as  $\min \text{supp}(f)$  for any  $f \in \mathbf{k}((t^{\mathbf{G}}))$  and the canonical derivation  $D$  is defined as follows:  $(Df)(g) := \delta(f(g))$ .

Moreover, the three-sorted theory of this valued  $D$ -field in the corresponding three-sorted language is called the theory of  $(\mathbf{k}, \mathbb{Z})$ - $D$ -henselian valued fields. Now we give an axiomatization of this theory, for a model  $\langle K, k, \Gamma \rangle$ :

Axiom 1.  $K$  and  $k$  are differential fields of characteristic zero and  $\forall \eta [p_n(\eta) \iff \exists \delta (\delta^n = \eta)]$ .

Axiom 2.  $K$  is a valued field whose value group  $v(K^\times)$  is equal to  $\Gamma$  via the valuation map  $v$  and whose residue field  $\pi(\mathcal{O}_K)$  is equal to  $k$  via the residue map  $\pi$ .

Axiom 3.  $\forall x \in K \{[v(Dx) \geq v(x)] \wedge [\pi(Dx) = D\pi(x)]\}$  and  $\forall x \exists y [Dy = 0 \wedge v(y) = v(x)]$ .

Axiom 4 (*D*-Hensel's Lemma). If  $P \in \mathcal{O}_K\{X\}$  is a differential polynomial over  $\mathcal{O}_K$ ,  $b \in \mathcal{O}_K$  and  $v(P(b)) > 0 = v(\frac{\partial}{\partial X^{(i)}}P(b))$  for some  $i$ , then there is some  $c \in K$  with  $P(c) = 0$  and  $v(b - c) \geq v(P(b))$ .

Axiom 5.  $\Gamma \equiv \mathbf{G}$  and  $k \equiv \mathbf{k}$ .

If  $\langle K, D, v \rangle$  is a valued field  $\langle K, v \rangle$  with a derivation  $D$  which satisfies  $\forall x [v(Dx) \geq v(x)]$  then we say that  $K$  is a valued  $D$ -field. Moreover, if  $K$  satisfies Axiom 4 then the valuation  $v$  is said  $D$ -henselian.

Now we define the theory of henselian residually  $p$ -adically closed  $D$ -fields.

**Definition 4.1.** We will call  $\langle K, D, v_p, v, a \rangle$  a *henselian residually  $p$ -adically closed  $D$ -field* if  $\langle K, D, v_p \rangle$  is a  $p$ -valued differential field with a  $D$ -henselian valuation  $v$  such that its differential residue field  $\langle k_{K,v}, \tilde{v}_p \rangle$  is a model of  $pCDF$  and its value group is a  $\mathbb{Z}$ -group with  $v(a) = 1$  and  $D(a) = 0$ .

In the canonical example  $\mathbf{k}((t^{\mathbf{G}}))$  of this class of  $D$ -henselian valued fields,  $t$  plays the role of  $a$  in Definition 4.1.

Now we apply Corollary 3.14 of [8] in order to prove a model completeness result for the theory of henselian residually  $p$ -adically closed  $D$ -fields which can be expressed in the first-order language  $\mathcal{L}_{D,p,a} := \mathcal{L}_{p,a} \cup \{D\}$ . We denote this  $\mathcal{L}_{D,p,a}$ -theory by  $HRpCDF$ . This model-theoretic result will be needed in the proof of Theorem 4.4 which is a differential Hilbert's Seventeenth problem for henselian residually  $p$ -adically closed  $D$ -fields.

**Proposition 4.2.** *The  $\mathcal{L}_{D,p,a}$ -theory  $HRpCDF$  is model complete.*

*Proof.* It is well-known that the theory of  $\mathbb{Z}$ -groups admits quantifier elimination in the language  $\mathcal{L}_V$  of totally ordered abelian groups with divisibility predicates and that the theory  $pCDF$  admits quantifier elimination in the differential Macintyre's language  $\mathcal{L}_R := \mathcal{L}_{\mathcal{D}_p, p_\omega}^D$ . We have to show that any formula is equivalent to an existential formula. So we consider an  $\mathcal{L}_{D,p,a}$ -formula  $\phi(\bar{x})$  where  $\bar{x}$  are the free variables. By using [8, Appendix], we can translate this  $\mathcal{L}_{D,p,a}$ -formula to an  $(\mathcal{L}_D, \mathcal{L}_V, \mathcal{L}_R)$ -formula  $\phi_*(\bar{x})$  where  $\mathcal{L}_D := \mathcal{L}_{\text{rings}} \cup \{D, a; P_n, n \in \mathbb{N} \setminus \{0, 1\}\}$  such that  $D$  is a derivation and the  $P_n$ 's are the  $n$ th powers predicates. Now we apply Corollary 4.2 in [8] to obtain an  $(\mathcal{L}_D, \mathcal{L}_V, \mathcal{L}_R)$ -quantifier-free formula  $\psi_*(\bar{x})$  equivalent to  $\phi_*(\bar{x})$ . Since the divisibility predicates  $n| \cdot$  of the language of  $\mathbb{Z}$ -groups are existentially definable in the language  $\{+, -, \leq, 0, 1\}$  and the  $p$ -valuation  $v_p$ , the predicates for the  $n$ th powers and their negations are existentially definable in the language of fields in  $pCDF$ , we get by using Lemma 2.26 and the reciprocal translation of [8, Appendix], an existential  $\mathcal{L}_{D,p,a}$ -formula  $\psi(\bar{x})$  equivalent to  $\phi(\bar{x})$  (we also used  $v(a) = 1$ ).  $\square$

**Lemma 4.3.** *Let  $\langle K, D, v_p, v, a \rangle$  be a valued  $D$ -field which is residually  $p$ -valued. Then we can extend  $\langle K, D, v_p, v, a \rangle$  to a model  $\langle L, D, w_p, w, a \rangle$  of  $HRpCDF$ .*

*Proof.* We know that if  $H$  is a discrete totally ordered abelian group and  $\alpha = 1_H$  is the least positive element of  $H$  then there exists  $G$  an extension of  $H$  contained in  $\tilde{H}$ , the

divisible hull of  $H$  such that  $G$  is a  $\mathbb{Z}$ -group with least positive element  $\alpha$  (see Lemma 4 in [11]). First we build an henselian unramified valued  $D$ -field extension  $K'$  of  $K$  such that its residue differential field is a model of  $pCDF$ . Since  $pCDF$  is the model companion of the theory of differential  $p$ -valued fields, we can consider a  $p$ -valued extension  $k'$  of  $k_K$  which is a model of  $pCDF$ . By using the existence part of Lemma 7.12 in [16], we obtain our extension  $K'$ . Moreover, by Lemma 2.22, we can equip  $K'$  with a  $p$ -valuation which extends the one of  $K$ , is compatible with the valuation on  $K'$  and induces the  $p$ -valuation on  $k'$  (moreover, we can assume  $K'$  henselian). Then we build a  $p$ -valued totally ramified valued  $D$ -field extension  $K''$  of  $K'$  such that its value group  $v(K''^\times)$  is equal to  $G$ . To this effect, it suffices to use Lemma 2.23 and to apply the calculations in Proposition 7.17 in [16]. Hence we obtain a totally ramified valued  $D$ -field extension. Now by using the same construction as in Proposition 3.12 of [8] and the first step of the proof, we obtain an unramified valued  $D$ -field extension  $K'''$  of  $K''$  which has enough constants and its differential residue field is a model of  $pCDF$ .

To finish the proof, we proceed as in [16], more precisely we use Lemma 7.25 of [16] to produce the necessary pseudo-convergent sequence in  $K'''$  and then use Proposition 7.32 of [16] to actually find a solution in an immediate valued  $D$ -field extension. So we obtain the required valued  $D$ -field extension  $L$ . Since the extension is immediate, the valuation  $v$  is henselian on  $L$  and  $k_{L,v} \models pCDF$  with  $v(L^\times)$  a  $\mathbb{Z}$ -group. By using Lemma 2.24, we can define a  $p$ -valuation on  $L$  and then,  $v$  is convex for this  $p$ -valuation on  $L$ ; so  $L$  is also a  $p$ -valued extension of  $K\langle\underline{X}\rangle$ .  $\square$

Now we can prove an analogue of the Hilbert's Seventeenth problem for the theory of henselian residually  $p$ -adically closed  $D$ -fields as in Theorem 2.28. We will use the following notation for the logarithmic derivative operator:  $\dagger$ , i.e.  $x^\dagger = \frac{Dx}{x}$ . We denote by  $K\{\underline{X}\}$  the differential ring of differential polynomials in  $n$  indeterminates over  $K$  and its fraction field by  $K\langle\underline{X}\rangle$ .

**Theorem 4.4.** *Let  $\langle K, D, v_p, v, a \rangle$  be a henselian residually  $p$ -adically closed valued  $D$ -field and let  $f$  be in  $K\langle\underline{X}\rangle$ . If  $v_p(f(\bar{x})) \geq 0$  for every  $\bar{x} \in K^n$  such that  $f(\bar{x})$  is defined (\*).*

*Then  $f$  belongs to  $R_{\gamma_p}^M(K\langle\underline{X}\rangle)$  where  $M$  is equal to  $A \cdot \mathcal{M}_{K,v}$  such that  $A$  is the subring of  $K\langle\underline{X}\rangle$  generated by  $(K\langle\underline{X}\rangle^\bullet)^\dagger$  and  $\gamma(K\langle\underline{X}\rangle)$ .*

*Proof.* We proceed as in Theorem 2.28. Suppose that  $f$  does not belong to  $R_{\gamma_p}^M(K\langle\underline{X}\rangle)$ . Since there exists a  $p$ -valuation  $v_p$  on  $K\langle\underline{X}\rangle$  which extends the one of  $K$  such that  $v_p(M) \geq 0$  (see Remark 4.5), we can extend the  $p$ -valuation  $v_p$  of  $K$  to a  $p$ -valuation  $w_p$  on  $K\langle\underline{X}\rangle$  such that  $w_p(M) \geq 0$  and  $w_p(f) < 0$  by applying Lemma 2.21.

We consider  $B = pcH(A, K\langle\underline{X}\rangle)$ . We get the same properties for  $B$  as the ones in Theorem 2.28; furthermore, since  $(K\langle\underline{X}\rangle^\bullet)^\dagger \subseteq B$ ,  $B$  is a differential ring in the following sense: if  $x \in B$  then  $x^\dagger$  belongs to  $B$  and so  $D(x)$  is in  $B$  (\*\*). We use Proposition 4.3 instead Proposition 2.23 in Theorem 2.28 in order to obtain an extension  $\langle L, D, \bar{w}_p, \bar{w}, a \rangle$  of  $\langle K\langle\underline{X}\rangle, D, w_p, w, a \rangle$  with  $\langle L, D, \bar{w} \rangle$   $D$ -henselian,  $\langle k_{L,\bar{w}}, D, \bar{w}_p \rangle$  is a  $p$ -adically closed differential field,  $\langle L, D, \bar{w}_p \rangle$  is a  $p$ -valued differential field extension of  $\langle K\langle\underline{X}\rangle, D, w_p \rangle$  and  $\bar{w}(L^\times)$  a  $\mathbb{Z}$ -group such that  $\bar{w}(a) = 1_{\bar{w}(L^\times)}$ .

Now it suffices to conclude as in Theorem 2.28 by using the model completeness result of Proposition 4.2 in order to deduce that  $\langle K, D, \mathcal{D}_v, a \rangle \prec_{\mathcal{L}_{D,a} \cup \{D\}} \langle L, D, \mathcal{D}_{\bar{w}} \rangle$ .  $\square$

*Remark 4.5.* As in Remark 2.29, we have to find, in the previous theorem, a  $p$ -valuation  $v_p$  on  $K\langle \underline{X} \rangle$  which extends the one of  $K$  such that  $v_p(M) \geq 0$ , i.e.  $v_p(A \cdot \mathcal{M}_{K,v}) \geq 0$ . We take a  $|K|^+$ -saturated  $\mathcal{L}_{D,p,a}$ -elementary extension  $L$  of  $K$  and so, we satisfy in  $L$  the  $n$ -type required for  $X_1, \dots, X_n$ . This  $n$ -type is consistent since in  $L$ , we have that  $(L^\bullet)^\dagger \subseteq \mathcal{O}_{L,v}$  and so  $(L^\bullet)^\dagger \cdot \mathcal{M}_{L,v} \subseteq \mathcal{M}_{L,v} \subseteq \mathcal{O}_{L,v_p}$ .

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