Sonia L'Innocente

# Model Theory and Quantum Groups

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# Seminar's aim

We want to illustrate the main results of a joint work with Ivo Herzog:

The nonstandard quantum plane, submitted.

This work is inspired by Ivo Herzog's paper:

*The pseudo-finite dimensional representations of sl*(2, *k*). Selecta Mathematica *7* (2001), 241-290

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# Our context: Quantum plane

Let *k* be an algebraically closed field of characteristic 0.

Let q be a parameter in k such that q is **not a root of unity**. Consider the **quantum plane** 

- associated to the field k and denoted by k<sub>q</sub>[x, y],
- defined to be the free k-algebra k{x, y} generated by x and y, modulo the relation

$$yx = qxy$$
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Papers Books The set of monomials  $\{x^i y^j\}_{i,j\geq 0}$  is a basis for the underlying *k*-vector space, and  $\forall (i, j)$  of nonnegative integers, we have

$$y^j x^i = q^{ij} x^i y^j.$$

There is a natural action on the quantum plane by the **quantum group**  $U_q$ , that is the quantized universal enveloping algebra.

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# Quantized universal enveloping algebra

 $U_q$  is defined as the *k*-algebra generated by the four variables *E*, *F*, *K*,  $K^{-1}$  with the relations:

$$\begin{array}{rcl} {\cal K}{\cal K}^{-1} &=& {\cal K}^{-1}{\cal K} = 1 \ , \\ {\cal K}{\cal E}{\cal K}^{-1} = q^2 {\cal E} \ , & {\cal K}{\cal F}{\cal K}^{-1} = q^{-2}{\cal F} \ , \\ {\cal E}{\cal F} - {\cal F}{\cal E} &=& \displaystyle \frac{{\cal K} - {\cal K}^{-1}}{q-q^{-1}} \ . \end{array}$$

# The quantum plane as $U_q$ -module

 $k_q[x, y]$  acquires the structure of a left  $U_q$ -module where the action of the generators is given by

$$Kx^{i}y^{j} = q^{i-j}x^{i}y^{j}, \quad Ex^{i}y^{j} = [i]x^{i-1}y^{j+1}, \quad Fx^{i}y^{j} = [j]x^{i+1}y^{j-1}$$
(1)

and extended linearly; the coefficients are given by

$$[a] := rac{q^a - q^{-a}}{q - q^{-1}}.$$

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## Our aim

We want to generalize Herzog's paper to the framework of  $U_q$ .

So, our work is devoted to the model-theoretic study of the quantum plane, regarded as a  $U_q$ -module.

# The main result

In the language of left  $U_q$ -modules, the ring of definable scalars of the quantum plane is a von Neumann regular epimorphic ring extension of the quantum group  $U_q$ .

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# Some Properties of Uq

- $U_q$  is a noetherian domain,
- By the Poincaré-Birkhoff-Witt Theorem the set {E<sup>i</sup>K<sup>l</sup>F<sup>j</sup>}<sub>i,j∈ℕ,l∈ℤ</sub> is a basis of U<sub>q</sub>.
- The action of U<sub>q</sub> preserves the total degree i + j of the monomial cx<sup>i</sup>y<sup>j</sup>, c ∈ k, so k<sub>q</sub>[x, y] decomposes as a U<sub>q</sub>-module into a direct sum

$$k_q[x,y] = \bigoplus_{n\geq 0} k_q[x,y]_n,$$

where  $k_q[x, y]_n$  denotes the *k*-vector space of all homogenous elements in the quantum plane of degree *n*.

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# Finite dim. representations of $U_q$

Every finite dim. representation of  $U_q$  admits a decomposition as a direct sum of simple modules, and  $\forall n \in \mathbb{N}$  there exist (up to isomorphism) exactly two simple representations of dimension n + 1, denoted

 $V_{+,n}$  and  $V_{-,n}$ .

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# Finite dim. representations of $U_q$

The simple  $U_q$ -modules

 $V_{+,n}$  with a basis  $m_0 \ldots, m_n$ 

 $V_{-,n}$  with a basis  $m'_0 \ldots, m'_n$ 

satisfy  $\forall i \ (0 \le i \le n)$  respectively the following relations:

$$\begin{array}{ll}
\mathsf{K}m_{i} = q^{n-2i}m_{i}, & \mathsf{K}m_{i}' = -q^{n-2i}m_{i}', \\
\mathsf{F}m_{i} = \left\{\begin{array}{ll}m_{i+1}, & \text{if } i < n, \\ 0, & \text{if } i = n, \end{array}\right. & \mathsf{F}m_{i}' = \left\{\begin{array}{ll}m_{i+1}', & \text{if } i < n, \\ 0, & \text{if } i = n, \end{array}\right. \\
\mathsf{E}m_{i} = \left\{\begin{array}{ll}[i][n-i+1]m_{i-1}, \\ & \text{if } i > 0 \\ 0, & \text{if } i = 0, \end{array}\right. & \mathsf{E}m_{i}' = \left\{\begin{array}{ll}-[i][n-i+1]m_{i-1}' \\ & \text{if } i > 0 \\ 0, & \text{if } i = 0, \end{array}\right.$$

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$$\begin{split} & Km_{i} = q^{n-2i}m_{i}, & Km'_{i} = -q^{n-2i}m'_{i}, \\ & Fm_{i} = \begin{cases} m_{i+1}, & \text{if } i < n, \\ 0, & \text{if } i = n, \end{cases} & Fm'_{i} = \begin{cases} m'_{i+1}, & \text{if } i < n, \\ 0, & \text{if } i = n, \end{cases} \\ & Em_{i} = \begin{cases} [i][n-i+1]m_{i-1}, \\ & \text{if } i > 0 \\ 0, & \text{if } i = 0, \end{cases} & Em'_{i} = \begin{cases} -[i][n-i+1]m'_{i-1} \\ & \text{if } i > 0 \\ 0, & \text{if } i = 0, \end{cases} \end{split}$$

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Papers Books It is well known that:

- **1** The simple module  $V_{+,n}$  is isomorphic to  $k_q[x, y]_n$ .
- 2 The other simple module  $V_{-,n}$  of dim. n + 1 is obtained by composing the action of  $U_q$  on  $V_{+,n}$  with the automorphism  $\sigma$  of  $U_q$  determined by

$$\sigma(E) = -E, \quad \sigma(F) = F, \quad \sigma(K) = -K.$$

We will also refer to the module  $V_{-,n}$  as  $k_q^{\sigma}[x, y]_n$ ; and to  $k_q^{\sigma}[x, y]$  as the direct sum of one copy of each  $k_q^{\sigma}[x, y]_n$ ,  $n \ge 0$ .

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### The general strategy

# We focus on the module *M* defined as follows:

 $M = k_q[x, y] \oplus k_q^{\sigma}[x, y],$ 

obtained by taking the direct sum of one copy of each simple representation of  $U_q$ , up to isomorphism.

### Main Theorem

The lattice Latt(*M*) of pp-definable subspaces of *M* is complemented.

# Corollary

Let  $U'_q$  be the ring of definable scalars of the  $U_q$ -module M. Then,  $U'_q$  is von Neumann regular ring.

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# Model theory of modules: the language $\mathcal{L}(U_q)$

(Left) modules over  $U_q$  are viewed as structures of the language

$$\mathcal{L}(U_q) = \{0, +, r(r \in U_q)\}$$

The basic atomic formulas are the linear equations

 $r_1u_1+\ldots+r_nu_n\doteq 0$ 

with scalars from U<sub>q</sub> acting on the left.
The system of linear equations are denoted by

$$(A,B)\begin{pmatrix}\mathbf{u}\\\mathbf{v}\end{pmatrix}\doteq\mathbf{0},$$

where  $\mathbf{u} = (u_1, \dots, u_n)$ ,  $\mathbf{v} = (v_1, \dots, v_k)$ , *A* denotes an  $m \times n$  matrix and *B* an  $m \times k$  matrix with entries from  $U_q$ . • PP- ("positive primitive") formulae have the shape

$$\varphi(\mathbf{u}) = \exists \mathbf{v} \ (A, B) \begin{pmatrix} \mathbf{u} \\ \mathbf{v} \end{pmatrix} \doteq \mathbf{0}$$

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# **PP-definable subspace**

Recall that If *V* is a  $U_q$ -module, the set of the solutions in *V* to the formula  $\varphi(\mathbf{v})$  is a *k*-subspace of  $V^n$ .

 $\varphi(v)$  is a pp-formula in one free variable v, then

 $\varphi(V) = \{ u \in V : V \models \varphi(u) \}$ 

denotes pp-definable subspace of V.

The collection of pp-definable subspaces of *V* has the structure of a modular lattice (with respect to *subseteq*).

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# The ring of definable scalars

Let V be a representation of  $U_q$ .

A *definable scalar* of V is a k-linear transformation

 $\rho_V: V \to V$ , whose graph is definable in *V* by a pp-formula  $\rho(u_1, u_2)$  in two variables,

 $V \models \forall u_1 \exists ! u_2 \rho(u_1, u_2).$ 

The collection of definable scalars of V has the structure of a ring, denoted by  $U_V$ .

There is a canonical morphism from the ring  $U_q$  to  $U_V$ , which sends the element *r* to its action on *V*, defined by the pp-formula

$$u_2 = r u_1$$
.

# An important result

If the lattice of pp-definable subspaces of the  $U_q$ -module V is complemented, then the ring  $U_V$  is von Neumann regular and that the canonical map  $U_q \rightarrow U_V$  is an epimorphism.

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# **The Ziegler Spectrum**

Let *R* be a ring. The (left) Ziegler spectrum of a ring *R*, usually denoted Zg(R), is the topological space

whose points are the isomorphism classes of (left) indecomposable p. i. modules

If *N* is a left *R*-module, then the closed subset of *N* in Zg(R) is defined to be

$$\mathcal{C}\ell(N):=igcap_{N\modelsarphi
ightarrow\psi}(\mathcal{O}_{arphi,\,\psi})^c.$$

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# **The Ziegler Spectrum**

Let *R* be a ring. The (left) Ziegler spectrum of a ring *R*, usually denoted Zg(R), is the topological space

whose topology admits as a basis of open neighbourhoods the sets:

$$\mathcal{O}_{arphi,\psi} = \{ U \in \mathsf{Zg}(R) : U \models \exists v (\varphi(v) \land \neg \psi(v)) \}$$

indexed by ordered pairs  $\varphi(\mathbf{v})$ ,  $\psi(\mathbf{v})$  of pp-formulas in one variable.

If N is a left R-module, then the closed subset of N in Zg(R) is defined to be

$$\mathcal{C}\ell(\pmb{N}):=igcap_{\pmb{N}\modelsarphi
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# The duality

For every pp-formula  $\varphi(\mathbf{u})$  in  $\mathcal{L}(U_q)$  we can associate the dual pp-formula in the language  $\mathcal{L}(U_q^{opp})$ 

$$\varphi^*(\mathbf{u}) = \exists \mathbf{w} (\mathbf{u}, \mathbf{w}) \begin{pmatrix} I_n & 0 \\ A & B \end{pmatrix} \doteq 0,$$

where  $I_n$  denotes the  $n \times n$  identity matrix.

If *V* is a left  $U_q$ -module, then the space  $V^* := \text{Hom}_k(V, k)$  of functionals is a right  $U_q$ -module, given by  $(\eta r)(v) = \eta(rv)$ , for every  $r \in U_q$ .

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# If $\varphi(V)$ is a pp-def. subspace of V, then $\varphi^*(V^*)$ is the subspace of $V^*$ consisting of functionals that vanish on $\varphi(V)$ .

This association yields an anti-isomorphism of the lattice of pp-definable subspaces of V and that of  $V^*$ .

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### The duality

Let us consider the anti-automorphism Tr of  $U_q$  determined by the values

$$\Xi \mapsto F, \ F \mapsto E, \ K \mapsto K.$$

The key operation on pp-formulae is the composition of the operation

 $arphi\mapsto arphi^*$  with  $arphi\mapsto {\sf Tr}(arphi).$ 

denoted by

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### The duality

Let us consider the anti-automorphism Tr of  $U_q$  determined by the values

$$E \mapsto F, F \mapsto E, K \mapsto K.$$

The key operation on pp-formulae is the composition of the operation

$$\varphi \mapsto \varphi^*$$
 with  $\varphi \mapsto \mathsf{Tr}(\varphi).$ 

denoted by

 $\varphi \mapsto \varphi^-$ .

(a)

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### Lemma

For every finite dimensional simple representation  $V_{\epsilon,n}$ , we have that

$$V_{\epsilon,n}^* \cong V_{\epsilon,n}^{\mathrm{Tr}}.$$

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### Proof

It is enough to prove hat the quantized Casimir element of  $U_a$ 

$$C_q = EF + rac{q^{-1}K + K^{-1}q^{-1}}{(q-q^{-1})^2}.$$

acts by the same scalar on  $V_{\epsilon,n}^*$  as it does on  $V_{\epsilon,n}^{\text{Tr}}$ . For every  $v \in V_{\epsilon,n}$ ,  $(\eta C_q)(v) = \eta(C_q v) = \eta(C_{\epsilon,n}v) = (\eta C_{\epsilon,n})(v)$ , and therefore  $\eta C_q = \eta C_{\epsilon,n}$  for every  $\eta \in V_{\epsilon,n}^*$ .

On the other hand, we have that

 $vC_q = \operatorname{Tr}(C_q)v = C_qv = C_{\epsilon,n}v = \operatorname{Tr}(C_{\epsilon,n})v = vC_{\epsilon,n},$ 

for every  $v \in V_{\epsilon,n}^{\mathrm{Tr}}$ ;

**Proof** 

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# It is enough to prove hat the quantized Casimir element of $U_{\alpha}$

$$C_q = EF + rac{q^{-1}K + K^{-1}q^{-1}}{(q-q^{-1})^2}$$

acts by the same scalar on  $V_{\epsilon,n}^*$  as it does on  $V_{\epsilon,n}^{Tr}$ . For every  $v \in V_{\epsilon,n}$ ,

$$(\eta C_q)(\mathbf{v}) = \eta(C_q \mathbf{v}) = \eta(C_{\epsilon,n} \mathbf{v}) = (\eta C_{\epsilon,n})(\mathbf{v}),$$

and therefore  $\eta C_q = \eta C_{\epsilon,n}$  for every  $\eta \in V_{\epsilon,n}^*$ .

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**Proof** 

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$$C_{\epsilon,n}=rac{q^{-1}(\epsilon q^n)+q(\epsilon q^n)^{-1}}{(q-q^{-1})^2}.$$

### Proposition

The rule  $\varphi(M) \rightarrow \varphi^{-}(M)$  is an anti-isomorphism of the lattice Latt(*M*) of pp-definable subspaces of *M*.

*Proof.* Focus on 
$$V_{\epsilon,n}$$
. If  $V \models \varphi(v) \rightarrow \psi$ , then

$$V_{\epsilon,n}^{\mathrm{Tr}} = V_{\epsilon,n}^* \models \psi^*(v) \to \varphi^*(v),$$

which is equivalent to

$$V_{\epsilon,n} \models \psi^-(v) \rightarrow \varphi^-(v).$$

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### **Proposition**

If  $\varphi$  is a *K*-invariant pp-formula, then  $\varphi^-$  is also *K*-invariant and for every simple finite dimensional representation  $V_{\epsilon,n}$ ,

$$\varphi(V_{\epsilon,n})\oplus \varphi^-(V_{\epsilon,n})=V_{\epsilon,n}.$$

#### Theorem

If *s* in  $U_q$  is nonzero, and  $\varphi(v)$  is the annihilator formula  $sv \doteq 0$ , then there is a uniformly cobounded formula  $\psi(v)$  such that the pp-definable subspace  $\psi(M)$  is *K*-invariant, and

 $\varphi(M)\cap\psi(M)=\mathsf{0}.$ 

### Proposition

If  $\varphi(v)$  is a low pp-formula for which the pp-def. subspace  $\varphi(M)$  is *K*-invariant, then the interval  $[0, \varphi(M)]$  of the lattice Latt(*M*) is complemented.

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# By the previous results we can complete the proof of our main theorem.

f  $\varphi(M)$  is defined by a high formula, then  $\varphi^-(v)$  is low, so we obtain a high formula  $\psi(v)$  such that  $\psi(M)$  is K-invariant and

 $\varphi^-(M) \cap \psi(M) = 0.$ 

Applying  $arphi\mapsto arphi^-$  once more gives that

$$\varphi(M) + \psi^-(M) = M.$$

Now  $\psi^{-}(M)$  is a *K*-invariant subspace defined by a low pp-formula, so that the interval  $[0, \psi^{-}(M)]$  is complemented.

A complement of  $\varphi(M) \cap \psi^-(M)$  in  $\psi^-(M)$  then serves as a complement of  $\varphi(M)$  in M.

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# By the previous results we can complete the proof of our main theorem.

the pp-def. subspace  $\varphi(M)$  is defined by a low pp-formula, nen:

(1) we obtain a complement  $\psi(M)$  of  $\varphi^{-}(M)$  in M,

2 we apply the anti-automorphism  $\varphi \mapsto \varphi^-$  to see that  $\psi^-(M)$  is then a complement of  $\varphi(M)$  in M.

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If the pp-def. subspace  $\varphi(M)$  is defined by a low pp-formula, then:

**1** we obtain a complement  $\psi(M)$  of  $\varphi^{-}(M)$  in M,

2 we apply the anti-automorphism φ → φ<sup>-</sup> to see that ψ<sup>-</sup>(M) is then a complement of φ(M) in M.

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# The ring $U'_q$

Let  $U'_q$  be the ring of definable scalars of the  $U_q$ -module M. If  $r \in U'_q$ , then rM is complemented by some  $\psi(M)$ , so

$$rM \oplus_k \psi(M) = M.$$

If  $e \in U'_q$  is the idempotent projection onto *rM* with respect to this decomposition, then

$$\pmb{M} \models \forall \pmb{v}(\psi(\pmb{v}) \leftrightarrow (\pmb{e} \pmb{v} \doteq \pmb{0})),$$

and  $rU'_q = eU'_q$ .

Similarly, define  $e_0 \in U'_q$  to be the idempotent projection onto the pp-definable subspace  $\varphi(M)$  defined by  $\varphi(v) = (Ev \doteq 0)$ , with respect to the decomposition

$$\varphi(M) \oplus_k FM = M.$$

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Papers Books For every  $V_{\epsilon,n}$  of  $U_q$ ,  $e_0 V_{\epsilon,n}$  is the highest weight space. We can state that

1  $I_0 = (e_0)$  consists of all the elements  $r \in U'_q$  for which the formula r | v is is uniformly bounded,

**2**  $U'_q/I_0 \cong Q$ , where Q is the field of fractions Q of  $U_q$ .

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### The Ziegler Spectrum of $U'_q$

 Zg(U'<sub>q</sub>) consists of the injective indecomposable U'<sub>q</sub>-modules where the open subsets in Zg(U'<sub>q</sub>) are in bijective correspondence with the two-sided ideals of U'<sub>q</sub> according to the rule

$$U\mapsto \mathcal{O}(I):=\{E\in \mathsf{Zg}(U_q'):\ IE
eq 0\}.$$

$$\operatorname{Zg}(U'_q) = \mathcal{O}(I_0) \stackrel{.}{\cup} \{Q\},$$

where  $\mathcal{O}(I_0)$  forms a compact totally disconnected subspace of  $Zg(U'_a)$ ,

 The subset of finite dim. simple representations V<sub>ε,n</sub> is a dense and discrete open subset of Zg(U'<sub>a</sub>).

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### Remark

- If V ∈ Zg(U'<sub>q</sub>) is not Q, then I<sub>0</sub>V ≺ V is a simple U'<sub>q</sub>-module which is an elementary substructure of V regardless of whether V is viewed as U<sub>q</sub>-module or U'<sub>q</sub>-module.
- An indec. representation V in  $Zg(U'_q)$  is finite dimensional if and only if  $I_0V = V$ .

### Pseudo-finite dim. U<sub>q</sub>-modules

A  $U_q$ -module V is said to be *pseudo-finite dim.* if it satisfies all the first order sentences of the language of  $U_q$ -modules satisfied by every finite dimensional module.

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### Proposition

A U<sub>q</sub>-module V is pseudo-finite if and only if it is a U'<sub>q</sub>-module and I<sub>0</sub>V ≺ V.

$$V \equiv \bigoplus_{W \in \mathcal{C}\ell(V)} I_0 W,$$

where every  $I_0 W$  is a pseudo-finite dimensional simple representation of  $U'_q$ .

The latter is an elementary version of the analogous result in the classical case.

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Let  $\varphi_+$  be the sum of the pp-formulae:

$$Kv = qv, Kv = v;$$

the pp-definable subspace  $\varphi_+(M)$  of M is K-invariant and

$$\varphi_+(M)\oplus_k\varphi_+^-(M)=M.$$

So, define  $e_+$  to be the idempotent projection onto  $\varphi_+(M)$ . Then  $e_+V_{\epsilon,n} \neq 0$  if and only if  $\epsilon = +$ . Let  $I_+ = (e_+)$ ,.

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$$Kv = -qv, \quad Kv = -v.$$

If we define  $e_{-} = \sigma(e_{+})$  to be the idempotent projection onto  $\varphi_{-}(M)$ , then  $e_{-}V_{\epsilon,n} \neq 0$  if and only if  $\epsilon = -$ .

### Then the ideal $I_{-} = (e_{-})$ is $\sigma(I)$ .

Since the open subsets associated to  $I_0$  and  $I_- + I_+$  both contain all the finite dimensional points of  $Zg(U'_q)$ , we conclude that

$$I_{-} + I_{+} = I_{0}.$$

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### Theorem

### We can state that:

- the lattice of pp-definable subspaces of the quantum plane  $k_q[x, y]$  is also complemented.
- The ring of definable scalars of k<sub>q</sub>[x, y] may be identified with the von Neumann regular ring U'<sub>a</sub>/I<sub>-</sub>.

The canonical morphism  $\rho: U_q \rightarrow U'_q/I_-$  is an epimorphism of rings with 0 kernel.

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### Proof

## Any *r* of *M* that vanishes on $k_q[x, y]$ must belong to $I_0$ .

This is because  $k_q[x, y]$  contains finite dimensional indecomposable summands of arbitrarily large *k*-dimension. Since  $I_-$  consists of the elements of  $I_0$  that vanish on  $k_q[x, y]$ , our claim is established.

There is a canonical morphism of rings from  $U'_q$  to the ring  $U'_q$  of definable scalars of  $k_q[x, y]$ . Since the lattice of pp-def. subspace of  $k_q[x, y]$  is complemented, the canonical morphism is an epimorphism of rings.

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There is a canonical morphism of rings from  $U'_q$  to the ring  $U''_q$  of definable scalars of  $k_q[x, y]$ . Since the lattice of pp-def. subspace of  $k_q[x, y]$  is complemented, the canonical morphism is an epimorphism of rings.

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### Remark

All but one of the points of the closed set Cl(kq[x, y]) associated to the quantum plane is pseudo finite.

• These points represent the nonstandard homogeneous components of the quantum plane.

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The nonstandard quantum plane Quantum groups Model Theory of modules

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